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Data-driven decision-making for district health management: a clusterrandomised study in 24 districts of Ethiopia

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ABSTRACT

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Correspondence to Dr Bilal Iqbal Avan; bilal.avan@lshtm.ac.uk **Background** Use of local data for health system planning and decision-making in maternal, newborn and child health services is limited in low-income and middleincome countries, despite decentralisation and advances in data gathering. An improved culture of data-sharing and collaborative planning is needed. The Data-Informed Platform for Health is a system-strengthening strategy which promotes structured decision-making by district health officials using local data. Here, we describe implementation including process evaluation at district level in Ethiopia, and evaluation through a clusterrandomised trial.

Methods We supported district health teams in 4-month cycles of data-driven decision-making by: (a) defining problems using a health system framework; (b) reviewing data; (c) considering possible solutions; (d) value-based prioritising; and (e) a consultative process to develop, commit to and follow up on action plans. 12 districts were randomly selected from 24 in the North Shewa zone of Ethiopia between October 2020 and June 2022. The remaining districts formed the trial's comparison arm. Outcomes included health information system performance and governance of data-driven decision-making. Analysis was conducted using difference-in-differences.

Results 58 4-month cycles were implemented, four or five in each district. Each focused on a health service delivery challenge at district level. Administrators' practice of, and competence in, data-driven decision-making showed a net increase of 77% (95% CI: 40%, 114%) in the regularity of monthly reviews of service performance, and 48% (95% CI: 9%, 87%) in data-based feedback to health facilities. Statistically significant improvement was also found in administrators' use of information to appraise services. Qualitative findings also suggested that district health staff reported enhanced data use and collaborative decisionmaking.

Conclusions This study generated robust evidence that 20 months' implementation of the Data-Informed Platform for Health strengthened health management through better data use and appraisal practices, systemised problem analysis to follow up on action points and improved stakeholder engagement.

Trial registration number NCT05310682.

WHAT IS ALREADY KNOWN ON THIS TOPIC

- \Rightarrow Decentralised health systems depend on local data and effective decision-making at the district level.
- \Rightarrow Although the District Health Information System 2 initiative has transformed data management, evidence is sparse on how to facilitate data-driven decision-making at the district level.

WHAT THIS STUDY ADDS

- \Rightarrow We developed the Data-Informed Platform for Health, a health system-strengthening intervention, which promotes structured decision-making by health administrators and managers using local data sources, in 12 districts of Ethiopia.
- \Rightarrow Using a randomised study design, we found that the Data-Informed Platform for Health strengthened existing decision-making fora at the district level: it improved data management and appraisal practices, systemised problem analysis to follow up on action points and created a culture of positive engagement among stakeholders.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

 \Rightarrow The Data-Informed Platform for Health could be adapted for other contexts and levels in the health system, enabling managers and administrators to improve service delivery by making the best use of data

INTRODUCTION

Health systems in most low-income and middle-income countries (LMICs) have become devolved and decentralised, shifting decision-making from national to district level. Thus, 'decision space' at the district level-the lowest administrative unit for primary healthcare—has expanded.¹² District health systems comprise networks of primary healthcare facilities, including hospitals, and are managed by district health management teams (DHMTs). It is the responsibility of autonomous DHMTs to improve healthcare through responsive, local decision-making.

Rapid advancement in health information systems has accompanied the decentralisation. Governments have committed to strengthening health data management through enhanced information technology (IT) services and focal development initiatives such as District Health Information System 2 (DHIS2)—rendering local data more available.³ Nevertheless, despite better data gathering and expanded decision space, the use of local data in decision-making for maternal, newborn and child health services remains limited in LMICs.⁴

Research, long-established, to strengthen health systems has addressed performance improvement,⁵ supported human resources and financial services, and promoted evidence-based practices.⁶ Facility-level service delivery processes have been a priority, with scant attention paid to health system management—the critical back end to effective service delivery. Evidence on health management interventions to identify and address practice-need gaps is limited, yet building managers' capacity while embedding technology-based solutions can enhance data use and improve DHMTs' decision-making.

Our earlier research in LMICs found a limited range of well-developed, contextually embedded processes and tools facilitating data use for comprehensive decisionmaking by district administrators and health managers.⁷ Among current efforts are cycles of data review and planning through externally facilitated workshops, and focused approaches such as human resource management.⁸⁹

Data-driven decision-making appraises all sources of evidence to understand health service challenges and develop solutions and follow-up. District-level Health Management Information System data are underused but possess great promise in relation to the six critical health system components: service delivery, medical supplies, workforce, governance, information and finance.¹⁰ DHIS2 is a transformative electronic data management system for collecting, validating, storing, analysing and communicating data collected routinely in health facilities. However, there are gaps: for example, databases beyond service delivery and functions for using DHIS data for problem-solving. Decentralisation means that local health administrators need more capacity to analyse and use data for decision-making. Yet the culture of data-sharing and collaborative planning among health stakeholders needs to be enhanced.^{11 12}

To address capacity and needs, we developed the Data-Informed Platform for Health (DIPH)—a health management systems intervention, which promotes structured decision-making by district health administrators and managers using local data. The DIPH strategy promotes quality decision-making by: (a) defining themes using a health policy and system framework; (b) reviewing data to identify problems; (c) considering alternative options; (d) value-based prioritising; and (e) using a consultative process to develop, commit to and follow up on action plans. The DIPH package included job aids and guidelines, providing tools and knowledge for structured decision-making using available data. The strategy was conceptualised, developed and tested over 10 years: the theory of change is shown in online supplemental annex Ia, which summarises the problem, solution and logic model. A prototype phase, piloted in three health districts in West Bengal, India, reported multisectoral data-sharing and data-based decision-making by district health management.¹³

Committing to data use for decision-making rendered Ethiopia a pioneer among sub-Saharan African countries. The government's 'Information Revolution' initiative was part of the Ethiopian 'Health Sector Transformation Plan' (HSTP; 2015-2020) to nurture a culture of information use and strengthen health system governance.¹⁴ This remained a priority in the HSTP (2021–2025),¹⁵ resulting in the Woreda Transformation initiative (districts in Ethiopia are 'Woredas') to create high-performing district health offices.¹⁶ In this context, two co-creation workshops with the Ethiopian Ministry of Health (MoH) and leading local public health institutions highlighted challenges faced by district management, especially in datadriven decision-making. The participants were oriented about the nature of the proposed research solution, and they endorsed the DIPH approach. A collaborative strategy for implementing and evaluating DIPH was finalised, and an advisory group was set up, to support the research within the public health system.

This paper describes the implementation of the DIPH interventions at district level in Ethiopia, and its evaluation using a cluster-randomised controlled trial (RCT) to estimate its effect on health information system performance and data-driven decision-making.

METHODS

Our research was embedded in the Ethiopian district health management system.¹⁷ A cluster-RCT with precomparison and post-comparison design was used. Study districts were 'clusters'. This section details the study context, DIPH implementation and the evaluation of outcomes.

Study context

DIPH was implemented in all 24 districts of North Shewa zone in Amhara region, Ethiopia (online supplemental annex Ib). This zone was selected in co-creation workshops with the Ethiopian MoH because of its manageable distance from Addis Ababa and because it was felt to reflect the average functioning of the country's health system. Districts were categorised into 12 pairs based on (a) performance level based on health outcomes, (b) distance from the zonal capital (Debrebirhan), and (c) the presence of Transform PHCU (Primary Healthcare Unit, a non-governmental organisation working locally on data). In each pair, one district was randomly chosen for the intervention group, the other being for the comparison group (online supplemental annex Ic).

The co-creation workshops clarified that the preexisting Performance Monitoring Team (PMT) meetings were the main platform for monthly performance reviews, priority-setting and decision-making by DHMT staff. However, PMT meetings were held irregularly, attendees lacked the capacity to conduct them and procedural details were unclear. DIPH supported PMTs by: (a) strengthening the forum for regularity and engagement of government and non-governmental stakeholders (health and non-health) to identify challenges, find solutions, and assess resource allocation and responsibilities, with the aim of consensus-building and collective decision-making; and (b) promoting critical review and regular use of diverse local data (DHIS and other) to understand health system progress.

Implementation (October 2020–June 2022)

Four 4-month cycles of DIPH were conducted in the 12 DIPH-intervention districts over 16 months. The first two cycles were to embed the DIPH strategy within the system by actively addressing any teething problems with the research implementation team, and the subsequent two cycles were fully integrated into the health system. Effectiveness estimation by the research evaluation team followed this. An additional cycle (20 February–20 June 2022) assessed the sustainability of DIPH without the research implementation team supporting on the ground, and information from this fifth cycle will be reported elsewhere.

Before the first cycle, DHMT staff of intervention districts had 3 days of intensive training supported by the DIPH handbook. The research team (BIA, MD and GT) trained five staff members in each district: the District Health Office head, maternal and reproductive health officer, child and nutrition officer, monitoring and evaluation (M&E) officer, and health information technician (HIT) or their delegates.

A field team was recruited and trained, comprising a coordinator, four support supervisors and a data manager. Each support supervisor was responsible for three districts, which they visited monthly to provide technical support, including inducting, orienting and handholding district stakeholders during the implementation of initial DIPH cycles, participating in monthly PMT meetings and monitoring implementation data.

Monitoring

Data were collected by DIPH support supervisors for each 4-month cycle. Synthesised findings were presented periodically to district staff to improve the next cycle.

Process evaluation

Qualitative semistructured key informant interviews were conducted in March 2022 with members of DHMTs from five DIPH and five comparison districts. The districts were selected based on their previous year's performance. In each DHMT, the following personnel were interviewed: head of the Health Office, M&E officer, reproductive and maternal health officer, and HIT. 43 interviews were conducted from 2 March to 28 March 2022. Digital recordings of the interviews in Amharic were transcribed verbatim and translated into English. The transcripts were imported into NVivo and coded under themes related to practices of data use and stakeholder collaboration, and the nature and mechanisms of change of those practices. Key themes included dimensions of data use and stakeholder collaboration, differences in practices between DIPH and comparison districts, mechanisms for changes introduced by DIPH, and the effect of contextual factors on the nature and mechanisms of those changes.

Outcome assessment

Outcomes were assessed through baseline (September 2020) and endline (March 2022) surveys in all 24 districts. Each survey included a review of District Health Office documents and interviews with the DHMT. Before-andafter comparison of health system outcomes (primary) in intervention and comparison districts assessed changes resulting from DIPH in terms of (1) data management practices, especially health information system performance at the district level, including (a) essential infrastructure for data management, (b) data diversity, (c) reporting timelines, (d) data quality assessment mechanisms, and (e) data use; and (2) practices of data-driven decision-making in relation to district-level governance, including (a) evidence-based decision-making, (b) participatory decision-making, (c) understanding data value, (d) health system support for data use or datadriven decision-making, and (e) accountability. The key secondary outcome was the perception of data use culture, a composite index of 33 items encompassing six aspects of decision-making culture: evidence-based decision-making, emphasis on data quality, use of information, problem-solving, responsibility and motivation to use data. For the cluster-randomised trial overall, with 60 participants per arm, equally distributed across 12 clusters, the study had 80% power to detect a 22% increase in the intervention arm compared with the control arm, assuming a 50% proportion of primary outcomes in the control arm and an intraclass correlation of 0.03, with a 95% confidence level.¹⁸ Survey respondents included all five eligible staff per District Health Office: head of the District Health Office, the HIT, M&E officer, maternal and reproductive health officer, and child health and nutrition officer. The survey instruments were adapted from the Demographic and Health Survey and Performance of Routine Information System Management (PRISM) tools.^{19 20}

Summary indices were developed, with conceptual and statistical underpinning based on standard PRISM tools. Indices were calculated for each of the 24 districts at baseline and endline, ranging from 0%

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to 100%. PMT practices were assessed at two levels: (a) respondent perception of regularity and types of decision-making in PMTs, and (b) content analysis of PMT records to assess the types of issues discussed. We used a difference-in-differences (DiD) approach to evaluate effectiveness by comparing outcomes in districts that were exposed to the intervention with those that were not. In district-level health system research, there is always a potential risk of competing initiatives or programmes existing in the study area, which might introduce bias if a conventional postintervention comparison is made in an RCT. DiD is a useful analytical technique in such a context to estimate a causal effect with a valid counterfactual. This is because in DiD analysis, the comparability assumption between the two study arms is less strict: in the absence of intervention, differences between study arms will be the same over time. DiD requires assessing change pre-intervention to postintervention, and comparing this between the two groups. This eliminates biases resulting from trends in the outcome due to causes other than the intervention. All analyses were adjusted for clustering at the district level.

RESULTS

This section is organised into four sections: (a) establishing comparability of the two study arms, (b) data management practices, (c) practices of data-driven decision-making and (d) perceptions about the culture of data use.

58 4-month DIPH cycles were completed across 12 intervention districts between October 2020 and June 2022 (figure 1). In one district, security concerns prevented the initiation of two cycles. The diverse themes included antenatal care, birth attendant skills, community hygiene and various aspects of immunisation (table 1). Over 50% of action points were completed in nearly all (54 of 58, 93%) cycles. The completion rate of the action was at least 80% in most cycles (37 of 58, 63%).

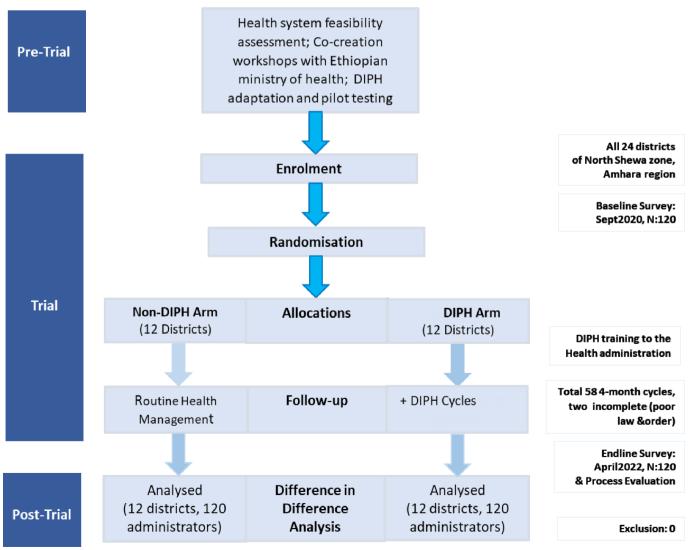


Figure 1 Flow chart of cluster-randomised controlled trial of the DIPH intervention. DIPH, Data-Informed Platform for Health.

Table	1 Total implemented cycles of DIPH in Ethi	opia
S no	Theme covered	Cycles
1	Maternal health (ANC 1st, ANC 4th, ANC dropout, syphilis test, PMTCT, iron-folic acid, skilled birth attendant)	31
2	Child health (BCG, penta 1, measles 1, measles 2nd dose, penta dropout, measles dropout, <5 children treated for pneumonia, decrease sepsis infection rate)	16
3	Nutrition (<2 years growth monitoring, <5 years screened for acute malnutrition)	5
4	Hygiene (improved latrine coverage)	2
5	Infectious diseases (HIV testing rate, HIV testing yield, TB referral linkage, malaria incidence)	4
	Total number of completed cycles	58
ANC, a	antenatal care; DIPH, Data-Informed Platform for H	ealth;

PMTCT, prevention of mother-to-child transmission; TB, tuberculosis.

Comparability of study arms

Sociodemographic characteristics of respondents were summarised by study arm over the study period (table 2). There were 60 respondents, on average, in each of the two arms, five from each district. The average age of respondents was 33 years, the mean professional experience in the health department was 11 years and the mean duration of service in the same district was 6 years. Over time, respondents were relatively more academically qualified, and positive gender changes were observed in both study arms.

The configuration of health facilities in the DIPH and comparison arms was similar. The availability of resources related to data management—including local data storage devices, continuous grid electricity supply, mobile network and access to the national data server improved comparably in both study arms between baseline and endline, except for wi-fi internet access which improved by 27% in the intervention districts and only 7% in comparison districts.

Data management practices

Three critical aspects of data management were explored and verified by direct observation: (a) resources (availability of trained staff and MoH guidelines), (b) completeness and record-keeping of key reports, and (c) practices of data quality assessment and data analysis (online supplemental annex IIb).

Availability of written guidelines or manuals for data review and data quality was considerably improved in DIPH districts: for example, the availability of DHIS2specific data management guidelines rose from 17% (baseline) to 87% (endline) in intervention districts, as against 17–50% in comparison districts, resulting in

		Comparison	1	Intervention			
		Baseline n=60	Endline n=60	Baseline n=60	Endline n=60		
Variables	Indicator	%	%	%	%		
Respondent designation	District Health Office head	20	20	20	20		
	M&E case team head	18	17	18	18		
	Health information officer	20	20	20	20		
	Others	42	43	42	42		
Gender	Female	17	23	13	20		
	Male	83	77	87	80		
Academic qualification	Diploma	40	32	27	20		
	BSc and above	60	68	73	80		
		Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)		
Professional experience	Respondent age	33 (8)	32 (7)	33 (7)	34 (7)		
(years)	Professional experience	12 (11)	11 (7)	10 (7)	11 (7)		
	Duration of experience	6 (5)	6 (4)	5 (5)	6 (5)		
Characteristics of the study	Health centres	4 (1)	4 (1)	4 (1)	4 (1)		
districts	Health posts	17 (7)	18 (7)	17 (6)	19 (7)		
	Private for-profit health facilities	5 (4)	6 (5)	3 (2)	7 (5)		

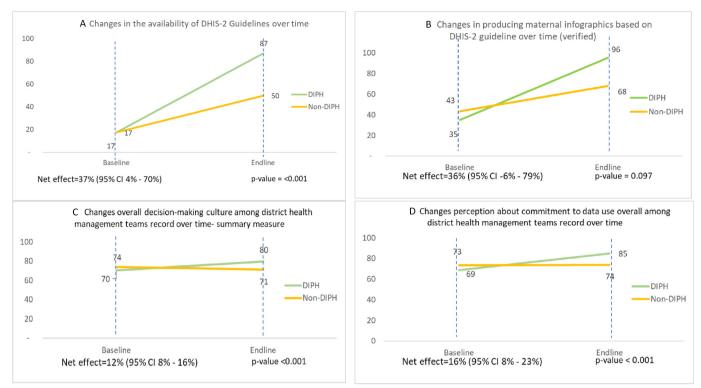


Figure 2 Practices of data management and perception of data use culture. (A) Changes in the availability of DHIS2 guidelines over time. (B) Changes in producing maternal infographics based on DHIS2 guideline over time (verified). (C) Changes in overall decision-making culture among district health management teams' record over time (summary measure). (D) Changes in perception about commitment to data use overall among district health management teams' record over time. DHIS2, District Health Information System 2; DIPH, Data-Informed Platform for Health.

37 percentage points more in the intervention than in comparison arms (95% CI: 4%, 70%) (figure 2A).

Data processing and analysis practices improved in both arms, but changes were greater in the intervention districts. The practice of producing up-to-date maternal infographics from DHIS2 was observed in less than half of the districts at baseline. There was a 36 percentage point greater improvement in intervention districts than in comparison districts (figure 2B).

In the process evaluation, it was reported that the digital interconnectedness of information systems including interoperability introduced by DIPH—especially optimal linkages to DHIS2—resulted in the functional advantage of district management teams taking an integrated view of service delivery challenges and decision-making processes.

Practices of data-driven decision-making

In the intervention districts, holding regular PMT meetings in the last 3 months increased from 12% to 77% between baseline and endline, with a fall from 30% to 18% in comparison districts, resulting in DiD of 77 percentage points (95% CI: 40%, 114%) (figure 3A). Keeping of minutes in the latest PMT meeting increased from 77% at baseline to 98% at endline in intervention districts, yet fell from 85% to 75% in the comparison districts (figure 3B).

In the process evaluation, all intervention districts reported an increased regularity of PMT meetings. The adoption of DIPH procedures for data use and planning, use of the PMT logbook and strengthened ownership of the process also enhanced commitment to the meetings.

DIPH helps us to easily access information and deliver quality data by introducing the software. It is helping us to timely conduct review meetings by better coordination among district management members. (Head of DIPH District Health Office)

In the last recorded PMT meeting minutes, the types of decision-making were noted and a summary measure was developed to assess the diversity of decision-making: themes included coverage of specific services, human resource management and emerging issues (online supplemental annex IIa-c). DiD analysis showed a 42 percentage point greater increase (95% CI: 6%, 77%) in the intervention districts over time, suggesting diverse decision-making with regard to assessing performance and problem-solving (figure 3C). At the end of the study period, providing feedback on data quality to primary healthcare facilities (PHCU) based on PMT proceedings was almost universal in intervention districts as against only 48% in comparison districts, with a resulting DiD of 48 percentage point positive change (95% CI: 9%, 87%) in the intervention group (figure 3D).

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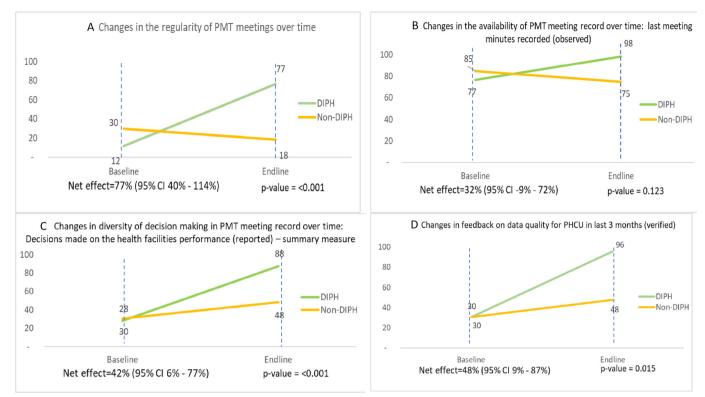


Figure 3 Practices of data-driven decision-making. (A) Changes in the regularity of PMT meetings over time. (B) Changes in the availability of PMT meeting record over time: last meeting minutes recorded (observed). (C) Changes in diversity of decision-making in PMT meeting record over time: decisions made on the health facilities' performance (reported)—summary measure. (D) Changes in feedback on data quality for PHCU in last 3 months (verified). DIPH, Data-Informed Platform for Health; PHCU, Primary Healthcare Unit; PMT, Performance Monitoring Team.

Perceptions of data use culture

The decision-making culture improved over time in intervention districts from 70% to 80%, with little change in the comparison districts: the DiD estimate suggested a net 12 percentage point improvement as a result of the intervention (95% CI: 8%, 16%) (figure 2C). Decision-making culture reflects the values and beliefs of district health administrators regarding collection, analysis and use of information to improve performance. Among its domains, the largest gains were in the perception of commitment to data use, with a net 16% (95% CI: 8%, 23%) improvement over 18 months (figure 2D). However, no meaningful effect was observed in acknowledging and rewarding good performance within district management teams (for further details, see online supplemental annex IId).

In the process evaluation, respondents reported changes in their abilities in data use and collaborative decision-making culture as a result of DIPH activities:

DIPH training empowered us to closely monitor down to the lowest level of the healthcare system, and in a fixed time frame. Responsibilities are shared among all stakeholders. We continually assess whether activities have met their target and set action points for those that didn't. Now, everyone does their job with a sense of accountability which was lacking before. (M&E officer, DIPH District Health Office)

Data use and collaborative procedures introduced by DIPH have been adopted in other district-level review meetings

and routine tasks. It has especially influenced managers, who consult data before making decisions. Analysis comes before making a decision, and I believe this is one of the results of the DIPH training. (IT officer, DIPH District Health Office)

DISCUSSION

We promoted structured decision-making by district health administrators and managers through their use of local data by embedding a new approach in their routine work. The DIPH intervention improved competencies in reviewing data, identifying problems by health system blocks, prioritising issues and implementing practical solutions through integrated, collaborative decisionmaking. The most remarkable results were observed in monthly PMT meetings. Each meeting, without exception, discussed the quality of data reported by the health facilities, resulting in structured feedback to the facilities on their performance, and formulated theme-specific action points which were followed through to completion. In addition, statistically significant effects were observed in the beliefs and opinions of district health administrators and managers: for example, their commitment to improving local data quality and promoting evidence-based decision-making.

The key DIPH mechanisms of change (online supplemental annex Ia) in data use and stakeholder

collaboration were observable during implementation. These included training, supervision and a tools package, leading to improvements in data quality and use in PMT meetings-both in the participation and contributions of stakeholders, and in collaborative decision-making. Data quality improved through group reviews, regular screening for errors in DHIS2 and reporting. Data use improved by strengthening analysis skills, digital use and data-sharing, and through the PMT meetings themselves. Stakeholders' contributions were enhanced through formalised roles and task assignments, joint planning, follow-up, the digital platform and enhanced data analysis, as well as planning and implementation skills. Collaborative decision-making improved in terms of consensual decisions and task-sharing. The DIPH intervention worked in synergy with DHIS2 in the effective use of data for performance review and problem-solving at the local level: to the best of our knowledge, no similar tool or strategy exists in the DHIS2 platform.

Our study took place in a context of health system decentralisation in Ethiopia. Institutional and national governance contexts affect the embedding and sustainability of any health system management intervention. In the Ethiopian devolved health system, the national MoH still issues policy directives. Nonetheless, districts have sizeable space for evidence-based decision-making to interpret and operationalise national directives. The relevance of approaches such as DIPH is commensurate with the degree of autonomy available to district health teams. The role of district management is crucial in translating national and regional policies into action while addressing local health needs, including resource management, staff supervision, coordination among projects and services, and responsiveness to community needs and performance targets. Each aspect requires evidence-based decision-making and commitment to follow-up.²¹ Among the obstacles to reaching population health targets in the primary healthcare system are limited core competencies and a lack of system-level opportunities to enable quality decision-making by district managers.²²

DHMTs work within broader sociocultural, organisational, structural and relational norms.²³ In addition to structural changes-that is, decentralisation and devolution-behavioural hierarchies and power dynamics set the tone for interactions, collaborations and decisionmaking boundaries among stakeholders. DHMTs possess a unique understanding of their local health context: with improved compilation and interpretation of local data, and tools for collaborative decision-making, DHMTs can devise the most practical and feasible solutions for their local healthcare system. Collaboration among district stakeholders for joint decision-making was a key theme of the DIPH intervention. Diverse members of the district cabinet-including the district administrator, deputy administrator and sectoral heads-were contacted for participation.

DIPH is fundamentally an application of 'systems thinking' principles in that data-driven decision-making

is explicitly and critically linked with subcomponents of the health system. District managers reviewed available routine data with consideration to organisational and population contexts, and found feasible solutions within their means, bringing efficiency to their district's primary healthcare performance.²⁴ ²⁵ Unlike linear thinking, which assumes a single cause-and-effect model, systems thinking breaks down the complexity of a problem into manageable, interconnected components, incorporating multiperspective, participatory and iterative approaches while defining solutions.²⁶ Despite its promise, there is negligible evidence of systems thinking being translated into health system processes and district-level decision-making.^{27–29}

While use of technology can enhance the efficiency of DIPH implementation, it is not a prerequisite for its success. As exemplified by our initial paper-based prototype testing in West Bengal, DIPH can be effectively implemented using traditional manual methods.¹³

A proactive, consolidated management tier is central to building an effective and efficient health system.²⁵ Most health management initiatives in LMICs, especially in sub-Saharan Africa, focus on core competencies and skills, while lacking evidence on systems operations and contextual dimensions necessary to embed and sustain their interventions.³⁰

There have been other recent attempts, contemporary with DIPH, to strengthen district health management, especially in African settings including Ghana Essential Health Interventions Programme, Tanzania Essential Health Interventions Project and African Health Initiative.^{31–34} Only a few have undergone rigorous evaluation leading to peer-reviewed publications. Notable among these are PERFORM (2011-2015)³⁵ and PERFORM2 scale (2017-2021),³¹ carried out in Ghana, Malawi and Uganda to strengthen the capacity of district health managers-in particular, to develop better human resource management and health system strategies to improve performance. The broader PERFORM strategy was based on an action research cycle delivered in workshop-style formats involving four steps: plan (analyse the primary causes of health service delivery challenges); act (prioritise problems and solutions, design human resource management strategies linked to health systems); observe (follow up on implementation for 3months); and reflect (on processes and effects). The evaluation of PERFORM was primarily qualitative, reporting a strengthened workforce management and highlighting the potential for workforce performance improvement. Similarly, a workshop-based approach was explored in Nigeria, where district management teams' capacity to appraise the quality of local data was enhanced through periodic workshops and intense facilitation.⁷ This approach highlighted that cycles of selfassessment of data quality, review and feedback, enabled through regular district-level workshops along with technical support, can enhance the completeness, accuracy and internal consistency of routine health data.

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While sharing with these initiatives a focus on district health management and the introduction of structured processes, the DIPH strategy is distinct in that it was designed to be embedded in existing decision-making fora, strengthening those fora to make optimal use of all available key data sources to appraise challenges and implement solutions.

Limitations

Our outcomes were limited to practices and performances in data-driven decision-making for health system planning, problem-solving and follow-up on an action plan. Though population-level health outcomes remain the ultimate aim, the translation of health management improvement into population-level effects is a long-term process and beyond the scope and timelines of our research. The field implementation team conducted the baseline survey before DIPH intervention training and random allocation of districts to the study arms. An independent data collection team carried out the endline survey assessment, and this team were unaware of the nature of the intervention and the study arm of each district. Thus, although the study was not blinded, and the respondents themselves were inevitably aware of the intervention, we took steps to minimise potential bias. Furthermore, to mitigate any influence of change in the data collection team, our analyses were based on DiD. Although the DIPH intervention was multicomponent, it is not possible to assess the quantitative contribution of individual components nor how they worked together to result in the observed effect. Nevertheless, we have described above possible mechanisms of change through the process evaluation. Among contextual factors, the COVID-19 pandemic and changing law and order situation in some study districts slowed down DIPH implementation. However, of the 60 cycles planned, 58 were completed. Stakeholder participation in PMTs increased over time in both study arms, which may have been due partially to government reforms aiming to promote data use for better district planning and management.

The cost of implementation-that is, financial resources needed across the design, initiation and maintenance stages of DIPH-would have been helpful information for health system stakeholders, especially policymakers, when embedding the strategy.³⁶ It is important to note that the intervention is not resource-intensive, as it is about streamlining the decision-making process of the district health administration within an existing routine platform and with existing resources. The key costing element for scaling up would be the training of district administration staff and of supportive supervision, which would be 0.3-0.25 full-time equivalent for each district, particularly during the first months of implementation. The technological aspect would also involve a limited one-off cost. Our lack of costing estimation was a missed opportunity. However, we plan to incorporate a cost estimation in our sustainability research.

Sustainability and scale-up by practitioners and policymakers are of critical importance to health system interventions. In related research, our group has explored broader determinants and frameworks of scalability underpinning the current study.^{37 38} Specifically, additional qualitative research was carried out to assess the possible mechanisms of sustainability and scale-up of DIPH after the assessment reported here. Analysis is in progress and will be shared elsewhere.

CONCLUSIONS

From this robust evaluation of a strategy to enable datadriven decision-making for solving local primary healthcare challenges, we conclude that the intervention improved data management and appraisal practices; systemised problem analysis to follow up on action points; and improved the culture of stakeholder engagement. Further research potential lies in adapting this strategy for various tiers of health systems, with diverse thematic focus.

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Contributors BIA and JS conceived the research project. MD and GT collected data. BIA analysed data with contributions from JS and GT. BIA and JS drafted the manuscript with the involvement of all authors. TM and LAP provided critical revisions. BIA is the guarantor. All authors approved the final version for the manuscript submission.

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Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

Patient consent for publication Not required.

Ethics approval This study involves human participants and was approved by the ethical review boards of the Ethiopian Public Health Institute and the London School of Hygiene & Tropical Medicine (reference numbers: EPHI 6-13/194 and LSHTM 17578). Participants gave informed consent to participate in the study before taking part.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available upon reasonable request. The data are available from the principal investigator of the IDEAS project and co-authors for this manuscript.

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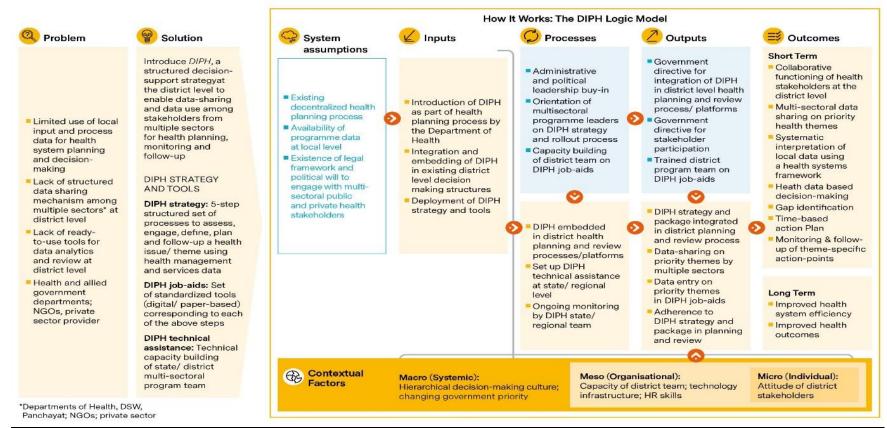
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Supplementary file

Annex: la

Annex Ia: Figure - Theory of change for the Data-Informed Platform for Health



Annex Ib: Map of North Shewa zone, Amhara region of Ethiopia

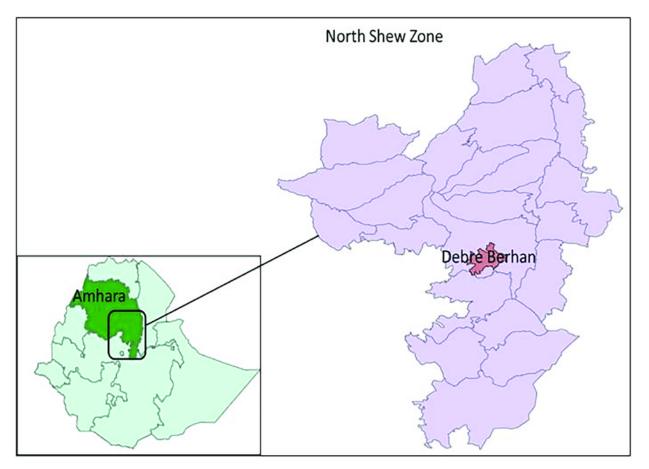


Figure Credit: Deressa T (2018) (CC BY 4.0).

annex ic. Table showing citteria and anotations of North shewa zone districts into intervention and comparison study arms										
Intervention Arm (DIPH Districts)					Comparison arm (Non DIPH Districts)					
			Distance	Transform	District Name	Rank	Rank			
		Performance	from	Woreda ¹			Performance	from D/	Transform	
District Name	Rank	in %	D/Birhan				in %	Birhan	Woreda ¹	
Debrebirhan (Urba	in) 2	64	0	Y	Shewarobit (Urban)	1	81	90		
Bassona worena	16	55	0		Ankober	15	55	42		
Angolela	9	60	20		Siyadebrina Wayu	8	60	43		
Tarmaber	11	58	60	Y	Moretna Jirru	10	60	65		
Mojana Wodera	7	60	72		Merhabete	4	63	83		
Assagirt	20	52	73	Y	Hageremaryam	22	45	84	Y	
Kewot	3	65	90		Menz Mama	2	66	130	Y	
Ensaro	6	61	133		Menz Lalo	5	62	150	Y	
Efratana Gdim	12	56	152	Y	Menz Gera	13	56	152	Y	
Minjarna Shenkora	n 19	53	160	Y	Menz Keya	18	53	184	Y	
Berehet	17	54	180	Y	Midda Woremo	14	56	183		
Antsokia Gemza	1	78	215	Y	Gishe	21	51	250	Y	

Annex Ic: Table showing criteria and allocations of North Shewa zone districts into intervention and comparison study arms

¹ Multisectoral approach by the Ethiopian government to create model districts to achieve SDG goals through priority packaging, partnership promotion and performance tracking.



Annex Id: CONSORT 2010 checklist*: Data Informed Platform for Health (DIPH)

Section/Topic	ltem No	Checklist item	Reported on page No**
Title and abstract			
	1a	Identification as a randomised trial in the title	1
·	1b	Structured summary of trial design, methods, results, and conclusions	3
Introduction			
Background	2a	Scientific background and explanation of rationale	4-5
objectives	2b	Specific objectives or hypotheses	5
Methods			
	3a	Description of trial design	6
Trial design	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	N/A
Participants	4a	Eligibility criteria for participants	6-7
	4b	Settings and locations where the data were collected	6
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	6
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	7
	6b	Any changes to trial outcomes after the trial commenced, with reasons	N/A
Sample size	7a	How sample size was determined	7
	7b	When applicable, explanation of any interim analyses and stopping guidelines	N/A
Randomisation:	8a	Method used to generate the random allocation sequence	N/A
generation	8b	Type of randomisation; details of any restriction (such as blocking and block size)	6, Annex: lc
Allocation	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	N/A
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	6
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	<u>13</u>

	11b	If relevant, description of the similarity of interventions	N/A
Statistic Methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	7
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	N/A
Results			
Participant flow	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were	Figure:1
		analysed for the primary outcome	
recommended)	13b	For each group, losses and exclusions after randomisation, together with reasons	Figure:1
Recruitment	14a	Dates defining the periods of recruitment and follow-up	6, Figure:1
	14b	Why the trial ended or was stopped	N/A
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	Table:2
Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original	Annex :ll
		assigned groups	
Outcomes and	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its	Figure:2-3
estimation		precision (such as 95% confidence interval)	
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	N/A
Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified	N/A
		from exploratory	
Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	N/A
Discussion			
Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	11-13
Generalisability	21	Generalisability (external validity, applicability) of the trial findings	11-13
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	11-13
Other information			
Registration	23	Registration number and name of trial registry	3
Protocol	24	Where the full trial protocol can be accessed, if available	Clinical Trials.gov
Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	14

*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, nonpharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see <u>www.consort-statement.org</u>.

**Please note that some items have been marked as N/A (not relevant) because the information has been reported elsewhere. The current manuscript is not the main results of the PAL trial (which has been published elsewhere and referred to throughout the manuscript).

Annex le: Reflexivity Statement

1. How does this study address local research and policy priorities?

The research, which was implemented in close collaboration with the Ethiopian Ministry of Health, was responding in part to the Government's 'Information Revolution' initiative, as a part of the Ethiopian 'Health Sector Reform Plan' (HSTP 2015-2020 & 2021-2025), to nurture the culture of information use and strengthen district health system governance.

2. How were local researchers involved in study design?

The design and conduct of the study followed a participatory approach involving all of Ethiopia's essential health system stakeholders. Within Ethiopia, the study principal investigator was a co-author from the Ethiopian Public Health Institute, Addis Ababa, who actively collaborated at every stage of the research. With regard to LSHTM staff, a female Ethiopian researcher based in Addis Ababa was responsible for coordinating the research throughout.

3. How has funding been used to support the local research team?

Over 40% of the total project funds were spent in Ethiopia, including salaries for local researchers the data manager and the field team.

4. How are research staff who conducted data collection acknowledged?

The field staff and other stakeholders who collected data and facilitated the project from concept to completion are duly acknowledged in the paper.

5. Do all members of the research partnership have access to study data?

Yes, all members of the research partnership have access to data.

6. How was data used to develop analytical skills within the partnership?

The overall analytical plan was developed collaboratively by the research teams of EPHI (Ethiopia) and LSHTM (UK). Collective team expertise was leveraged for analytical skill development within the project. Weekly meetings were held to familiarise the partners with the statistical methodologies and review analysis of specific aspects of the project data involved in the study.

7. How have research partners collaborated in interpreting study data?

The research team held weekly meetings to discuss data analysis and interpretation, and preliminary findings were presented at several points to get feedback from scientific colleagues and key stakeholders in Ethiopia and within LSHTM.

8. How were research partners supported to develop writing skills?

Senior researchers supported the Ethiopian principal investigator as well as an early-career researcher in refining their scientific writing skills.

9. How will research products be shared to address local needs?

The project was co-created before implementation, and the results were shared in a dissemination workshop with Ethiopian national and regional partners and funders. This paper will be published in an open-access journal, making it accessible to health system researchers in Ethiopia and internationally.

10. How is the leadership, contribution and ownership of this work by LMIC researchers recognised within the authorship?

GT was part of the senior authorship team in developing this manuscript, and MD was instrumental in the data collection and project coordination. LAP was based in Ethiopia throughout the project and gave support and guidance to the implementation team. Separate but related publications from this work, submitted elsewhere, have LMIC team members as lead authors.

11. How have early career researchers across the partnership been included within the authorship team?

Co-authors include early career researcher (MD), and she has contributed to the review and interpretation of the results. Moreover, her doctoral dissertation will include analysis of qualitative data assessing the sustainability of the intervention in Ethiopia, which will also be published in a peer-reviewed journal.

12. How has gender balance been addressed within the authorship?

Three authors are male (BIA, GT and LAP), and three are female (MD, TM and JS).

13. How has the project contributed to training of LMIC researchers?

Two LMIC co-authors were involved at all stages of the research development and execution. They led the study's operational coordination in collaboration with the international investigators. This technical and practical collaboration enabled them to strengthen their research skills.

14. How has the project contributed to improvements in local infrastructure?

The research focus was an intervention which aimed to improve the administrative efficiency of district health systems within their existing data and budgetary resources. Other, more direct financial or material contribution to local infrastructure improvement was beyond the research project's remit.

15. What safeguarding procedures were used to protect local study participants and researchers?

National and international ethics committees approved the research project. It complied with all essential safety and confidentiality procedures. The study did not include any patient or population-level data.

	Annex: II	
Annex IIa: Table - Availability of a	general data management resources	s in the study districts

Variables	Comparison Distri	cts	DIPH Districts		
	Baseline Endline n:12 n:12		Baseline n:12	Endline n:12	
	%	%	%	%	
Server (FMOH DHIS2	58	50	67	58	
server, if accessible)					
USB key	83	75	75	83	
CD (compact disc)	33	33	25	33	
External hard disk	17	17	42	50	
Facility mobile phone	75	67	75	83	
Internet network	67	58	67	92	
Wi-Fi	33	42	67	92	
Continuous grid supply	0.0	58	17	50	

Annex IIb: Table - Data management status between study arms over time

		Comparis	on	DIPH	
		Baseline	Endline	Baseline	Endline
Varia	ables	n:12	n:12	n:12	n:12
		%	%	%	%
a. Resources for data assessm	ent at the district level				
Designated person managem	ent	100	100	100	100
Designated person received t	raining in the past two years	42	17	33	67
Written guidelines on data en	· · · · · ·	50	75	58	92
Written guidelines for Data	review and quality control	25	58	25	75
(Observed)					
b. Completeness of reporting	; for last 3 months (by HIT)				
Keep copies of monthly data	paper-based copies	100	100	100	100
reports (CAT)	electronic copies	0	0	0	0
If Yes, maintain a record of	Yes	17	92	17	83
dates of receiving reports					
from health facilities					
c. Data quality, processing an	d analysis practices				
DQA tools use to assess data	quality (OBSERVED)	25	42	8	92
In-built electronic data quality	y validation (OBSERVED)	8	42	8	75
DHIS2 used for Data Entry		100	100	100	100
DHIS2 used for Data Analysis		92	100	92	92
Aggregated/summary DHIS-2	50	42	58	92	
Demographic data on th	67	50	75	83	
OBSERVED)					
Comparisons among facilities	in the distirct for key DHIS 2	50	50	58	75
indicators (OBSERVED)					

	nparisons SERVED)	of	annual	district/national	targets(58	33	58.3	75
Con	nparisons of	f data	over time	e (OBSERVED)		25	42	33.3	75
Con	nparisons of	f serv	ice covera	age along continuu	m of care	25	50	50	75
(OB	SERVED)								

Annex IIc: Table - PMT meetings: data-driven decision-making changes over time:

Annex lic: Table - Pivit meetings: data-driven decision-ma	Compariso		Intervention	
	Baseline	Endline	Baseline	Endline
Variables	(n:60)	(n:60)	(n:60)	(n:60)
	%	%	%	%
a. PMT meeting regularity and decision-making				
Monthly PMT meetings (UNDERSTANDING)	88	92	82	100
Monthly PMT conducted in last three months (PRACTICE)	30	20	12	77
Decisions made on - Formulation of plans	13	48	12	92
Decisions made on - Budget preparation	0	7	3	53
Decisions made on - Budget reallocation	0	5	3	52
Decisions made on - Medicine supply and drug	10	40	10	87
management				
Decisions made on - Human resource management	8	32	5	98
Decisions made on - Advocacy for policy and programmes	7	33	5	100
Decisions made on - Health services	27	60	37	100
Decisions made on - Promotion of service quality	28	68	22	100
Decisions made on - Reducing the gender gap	3	5	5	35
Decisions made on - Involvement of the community	15	45	12	100
b. PMT meeting content				
Performance Monitoring Team conducted (PMT)	100	92	100	100
Minutes of the last PMT recorded (observed)	85	82	78	100
Any discussions on Health Data in the last PMT	40	89	40	100
Any decisions based on the discussions in the last PMT	100	100	100	100
Was action plan formulated in the last PMT (OBSERVED)	25	75	0	92
Any follow-up action taken place on the decisions for the last PMT (OBSERVED)	100	83	0	100
Discussion held in the last PMT- Coverage of service (OBSERVED)	90	100	100	100
Discussion held in the last PMT - Hospital/health center performance (OBSERVED)	70	100	60	92
Discussion held in the last PMT - Disease data (OBSERVED)	20	56	20	83
Discussion held in the last PMT- Identification of emerging	30	89	0	100
issues/epidemics (OBSERVED)				
Discussion held in the last PMT - Medicine stock-outs (OBSERVED)	20	78	30	83
Discussion held in the last PMT - Human resource management (OBSERVED)	10	44	0	83
Discussion held in the last PMT - Sex-disaggregated data (OBSERVED)	10	11	10	58

Annex IId: Table - decision-making culture

	Comparison Districts				DIPH intervention Districts			
	Baseline	(n:60)	Endline(n:60)		Baseline (n:60)		Endline(n:60)	
Variables	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Commitment to quality data	76	14	74	15	74	13	84	9
Commitment to data use	73	14	74	14	69	14	85	8
Practice of evidence-based decision-making	68	8	66	6	64	7	70	8
Practice of problem-solving	72	13	67	13	67	11	78	10
Sharing information between levels	78	13	74	13	76	15	83	9
Sense of duty	78	10	75	11	75	9	80	6
Feeling empowered and accountable	70	15	71	18	66	15	78	10
Rewarding good performance	71	9	74	9	73	9	77	8
Motivation level among staff	76	14	74	15	74	13	84	9

Annex IIe: Table - data-use to create graphs 4 and 5 for the manuscript

	Variables	Comp	Comparison		IPH	Net Effect (95%Cl)
		Pre	Post	Pre	Post	
4a	Changes in the availability of DHIS-2 Guidelines over time	17	50	17	87	37% (4% - 70%)
4b	Changes in producing maternal infographics based on DHIS-2 guideline over time (verified)	43	68	35	96	36% (6% - 79%)
5a	Changes in the regularity of PMT meetings over time	30	18	12	77	77% (40% - 114%)
5b	Changes in the availability of PMT meeting record over time: last meeting minutes recorded (observed)	85	75	77	98	32% (9% - 72%)
5c	Changes in diversity of decision making in PMT meeting record over time: Decisions made on the health facilities performance (reported) – summary measure	30	48	28	88	42% (6% - 77%)
5d	Changes in feedback on data quality for PHCU in last 3 months (verified)	30	48	30	96	48% (9% - 87%)
4c	Changes in overall decision-making culture among district health management teams record over time - summary measure	74	71	70	80	12% (8% - 16%)
4d	Changes perception about commitment to data- use overall among district health management teams record over time	73	74	69	85	16% (8% - 23%)