From paper maps to digital maps: enhancing routine immunisation microplanning in Northern Nigeria

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ABSTRACT

Geographical information systems (GIS) can be effective decision-support tools. In this paper, we detail a GIS approach implemented by the Bauchi and Sokoto state primary healthcare development agencies in Nigeria to generate and convert routine immunisation (RI) paper maps to digital maps for microplanning. The process involved three stages: primary and secondary data collection and reconciliation, geospatial data processing and analysing, and production and validation of maps. The data collection and reconciliation stage identified a number of challenges with secondary data sources, including the need to standardise and reconcile health facility and settlement names. The study team was unable to apply population estimates generated from the Global Polio Eradication Initiative to RI planning because operational boundaries for polio activities are defined differently from RI activities. Application of open-source GIS software enabled the combination of multiple datasets and analysis of geospatial data to calculate catchment areas for primary healthcare centres (PHCs) and assign vaccination strategies to communities. The activity resulted in the development of PHC catchment area digital maps, and captured next steps and lessons learnt for RI microplanning in the two states. While the digital maps provided input into the microplanning process, more work is needed to build capacity, standardise processes and ensure the quality of data used to generate the maps. RI service providers and communities must be engaged in the process to validate, understand the data, the contextual factors that influence decisions about which vaccination strategies RI microplans include and how resources are allocated.

INTRODUCTION

WHO, the United Nations Children’s Fund and other partners developed and first introduced the Reaching Every District (RED) strategy in 2002 to help improve immunisation coverage. The RED strategy combines best practices in immunisation systems strengthening and includes five components: ‘planning, coordination and management of resources; reaching all eligible populations; engaging with the community and social mobilisation; conducting supportive supervision and monitoring and using data for action’. Recent evaluations in sub-Saharan African countries have demonstrated that implementing the RED strategy results in increased infant vaccination coverage. These studies document that successful implementation begins when service providers are able to create routine immunisation (RI) microplans and use coverage and dropout data to identify and give priority to geographical areas with poor access to and/or low utilisation of RI services. Microplans that are based on outdated population estimates and inaccurate estimates of the distances between communities and service delivery points can result in poor resource allocation and the inability to reach infants in need of immunisation services.

CONTEXT AND APPROACH

Nigeria has not conducted a national census since the 2006 housing and population census, which provided the total population of children aged 0 to –4 years by sex and local government authority (LGA, Nigeria’s district equivalent). This level of aggregation does
not allow determination of the population at the community level (called settlements in Nigeria), a problem attributed to the lack of authoritative lists and maps of localities. Global guidance calls for the use of primary health centre (PHC) and district catchment area maps and updated population estimates to determine service delivery strategies and allocate RI programme resources. Under this approach, State Primary Health Care Development Agency (SPHCDA) staff at the state and LGA levels work with local stakeholders (e.g., health workers, village chiefs, and community leaders) to generate final population estimates and determine vaccination strategies for each settlement in their PHC catchment area using hand-drawn maps and estimated travel time. The process can be inaccurate and incomplete, and in some instances settlements are missed. In addition, population projections are often out of date due to growth rate disparities. When population estimates for LGAs, wards, and settlements exist, they are often incorrect because they have been calculated using constant growth rates that are based on national estimates of birth, death, and migration.

There is growing international interest in applying geospatial data and technology for immunisation programme strengthening in low-resource settings. These data and technology can be used to improve the validity and quality of catchment area maps and population estimates and increase transparency around how such data are generated and used to improve accountability for RI resource allocation. The Global Polio Eradication Initiative (GPEI) has applied geographical information systems (GIS) in Nigeria to track polio vaccination, provide near real-time monitoring of team performance and identify service gaps since 2012. In 2017, the Bauchi and Sokoto SPHCDA, with support from the Maternal and Child Survival Program (MCSP) of the US Agency for International Development, sought an alternative approach to improve RI microplanning using GIS technology. Six ‘representative’ LGAs (three in each state) were selected to pilot the approach based on population density, pentavale three coverage, geopolitical zone, and accessibility. The approach aimed to address two aspects of microplanning: first, by applying updated target population estimates generated through GPEI activities using GIS technology, and second, by developing digital PHC maps addressing location of services, reach and service gaps based on geocoordinates that would provide more accurate vaccination strategy determination.

This paper details the process of developing digital maps for PHC catchment areas and documents the lessons learnt and next steps. Diverse stakeholders were involved in this work including national level stakeholders (at project inception), MCSP, the SPHCDA monitoring and evaluation staff, and eHealth Africa.

**DELINEATING PHC CATCHMENT AREA DIGITAL MAPS**

The process of generating PHC catchment area digital maps involved three stages: (1) information gathering (primary and secondary) and reconciliation, (2) geospatial data processing and analysis, and (3) production and validation of maps.

**Stage 1: information gathering and reconciliation**

**Stakeholder engagement**

Stakeholder engagement is necessary to increase the legitimacy, credibility, acceptability, and ownership of the decisions made when generating PHC catchment area maps. The MCSP-led team identified critical stakeholders from government and implementing partners at the state level and in the six pilot LGAs. These stakeholders participated in inception meetings discussing data requirements and the identification of existing datasets. The discussions resulted in a protocol, which included activity goals and objectives and was approved by the state governments and partners.

**Dataset source identification**

The team identified the data inputs needed for microplanning, which included (1) PHC locations; (2) settlements’ names and locations; (3) points of interest (POIs) (e.g., churches, schools, mosques, markets, village head houses, etc); (4) roads, rails, and waterways; (5) target population data and (6) administrative boundaries (e.g., state, LGA, and ward boundaries). In Nigeria, state and LGA boundaries are authoritative, while ward boundaries are operational and based on local knowledge with names designated by the ward focal person and the village head. The two data sources were (1) the Bauchi and Sokoto SPHCDA lists of health facilities and their corresponding settlements by ward and LGA and (2) a geodatabase (funded by the Bill & Melinda Gates Foundation) to support the GPEI in Nigeria, which is referred to hereafter as the geodatabase.

**Data cleaning, reconciliation and collection**

The team performed a data cleaning and reconciliation process to develop a comprehensive dataset to populate the GIS digital maps, as shown in figure 1. This process of verifying existing and compiled data resulted in a list of unreconciled settlements that required further field-based data collection. Prior to field data collection, the team designed and configured a data collection form using an open data kit (ODK) mobile survey application that could collect geographical coordinates and information about settlements, health facilities (including PHC and secondary-level and tertiary-level healthcare) and POIs. Tablets configured with the application OSMAnd (https://osmand.net/) aided both in navigation and in deriving the geographic coordinates entered in the ODK forms.

A 2-day training orientated data collectors on community engagement and data collection procedures. Data

Figure 1  Data cleaning and reconciliation work flow. RI, routine immunisation.

collectors learnt how to use ODK forms to identify, collect and upload geospatial coordinates and how to use Humanitarian OpenStreetMap (OSM) Tasking Manager V.3 (https://www.hotosm.org/), a collaborative mapping tool which was used to digitise and verify roads and distances in the focal LGAs.

Data collectors used tablets preloaded with a list of unreconciled settlements and PHCs and had copies of hand-drawn catchment area maps. At each settlement, they met with community leaders to explain the main goal of their visit and the benefit of the activity to the community. Data collectors then worked with the assigned local guides (the LGA leaders) and the government-assigned ward focal officer to determine the exact locations of the settlement and nearby health facilities and recorded their responses to questions preloaded on their tablets. On completion, the team merged field-collected data with the harmonised dataset (data from the SPHCDA list and geodatabase) and verified the compiled data with health workers and community leaders in each LGA.

Stage 2: data processing and geospatial analysis

Delineate PHC geolocated catchment areas

To estimate the boundary of the catchment area for each PHC, the team created a convex hull (or zone) by connecting the furthest settlement points and forming a polygon around the PHC, as shown in figure 2. The convex hull was created using an open-source GIS application called QGIS V.2.8.9.20

Digitise road networks and address accessibility barriers

The study team digitised and classified road types from OSM (https://www.openstreetmap.org) using an online reference map. The team developed a road network layer with estimated travel distance by entering the settlements and corresponding PHCs into the Open Street Routing Machine (http://project-osrm.org/), a high-performance routing engine designed to determine the shortest paths within the road networks. To connect road segments to each other, the study team linked the road network layer to the map of PHCs and settlements and calculated the travel time between them. The study team considered many different criteria in determining the distance between settlements and corresponding PHCs (e.g., road distances, topography or elevation and physical barriers like mountains). Due to a lack of data on the location and status of bridges and seasonal characteristics of rivers in the study LGAs, rivers were excluded from the definition of catchment areas and when calculating distances.

Assign vaccination strategy delineations and population estimates

Catchment area boundaries are drawn according to service distance requirements set by the government of Nigeria21 and are used in determining vaccination strategy during RI microplanning. Fixed-session catchment areas include settlements within 0–2 km of the PHC; this strategy assumes that people living within 2 km of...
the PHC can walk there to receive services. Outreach-session catchment areas include settlements that are 2–5 km from the PHC; this strategy involves RI providers travelling to established outreach sites to provide services. Mobile-session catchment areas include settlements that are 5–10 km from the PHC; this strategy involves transporting RI providers to hard-to-reach settlements to provide services. To determine the target population, the study team downloaded estimates from the vaccination tracking system (VTS) of the number of children under 1 year of age in each LGA and settlement. The Nigeria Federal Ministry of Health and the GPEI partners use this data source to estimate the population for GPEI campaign planning purposes. The VTS data have been updated at least once annually since 2015. The process of deriving population estimates has been described elsewhere, and a brief description is provided in online supplementary file 1.

Stage 3: produce and validate maps
The study team used QGIS to generate a set of maps for each PHC catchment area showing PHC and other health facilities, settlements, administrative and catchment area boundaries, POIs, waterways, water bodies, railway networks and road networks (figure 3). The generated maps had the same format, so reproduction was automated using the Atlas tool in QGIS.

To gain insight into possible interventions from policy-level stakeholders, build confidence in the maps generated from the microplans, and understand the strengths and challenges of using the digital maps (compared with traditional paper-based maps), the study team convened meetings with key informants and engaged them in validating the maps (table 1). Informants made several suggestions for changes to the maps, including removing overlapping catchment areas that made the maps difficult to read; adding colour definitions to more clearly show vaccination strategy distances; using non-colour-dependent symbols for printing in black and white; and using localised symbols such as a basket of food items instead of a cart to represent a market.

Table 1  Number of key informants interviewed by study area, December 2018

<table>
<thead>
<tr>
<th>Key informants</th>
<th>Study LGAs (n=6, 3 per state)</th>
</tr>
</thead>
<tbody>
<tr>
<td>State partners</td>
<td>All</td>
</tr>
<tr>
<td>LGA and ward RI supervisors (12 total supervisors)</td>
<td>2 supervisors/LGA</td>
</tr>
<tr>
<td>PHC health facility (HF) in charge (12 total HF in charge)</td>
<td>2 HF in charge/LGA</td>
</tr>
<tr>
<td>RI service providers (12 total HF in charge)</td>
<td>2 RI providers/LGA</td>
</tr>
<tr>
<td>Community representatives (12 FGDs with community representatives)</td>
<td>2 FGD/LGA with 8–10 community representatives</td>
</tr>
</tbody>
</table>

FGD, Focus Group Discussion; LGA, local government authority; PHC, primary health centre; RI, routine immunisation.

NEXT STEPS
The next steps are for RI service providers to use the updated PHC catchment area maps to inform microplanning and resource allocation. Each state’s GIS team will be responsible for updating the digital PHC catchment area and ward-level maps. The study team also recommends that the states revise the protocol for updating microplans to include annually reviewing and updating digital maps with accurate settlement and PHC data. State GIS teams should continue to consult with PHC health workers (the users of the maps) during microplanning to ensure that the digital PHC maps they create are technically sound and meet users’ needs.
LESSONS LEARNT

GIS technology is an effective decision-support tool in that it can be used to generate digital maps depicting the PHC catchment areas and their associated settlements. However, while such maps are dynamic and easy to interpret by our stakeholders, they are only as good as the data used to generate them. Below are the challenges the study team encountered in developing the digital maps. The study team recommends that the key challenges be addressed prior to scaling up the approach.

Lack of comprehensive SPHCDA lists and inconsistency in naming facilities and settlements

The geographical and non-geographical data needed for RI microplanning are readily available and can either be downloaded from government databases (eg, the geodatabase, VTS and the Office of the Surveyor General of the Federation) or collected through primary data collection efforts (such as for settlements with missing attributes like a missing name). However, the identification of accurate data sources for this activity proved to be difficult because of the existence of multiple SPHCDA lists with different PHCs and settlements. As a result, a number of data quality issues inherent in the SPHCDA lists had to be addressed (eg, misspelled settlements, duplications and blank records) and verified by state stakeholders prior to reconciling existing databases (the SPHCDA list and geodatabase). The approach used to produce digital maps offers a unique opportunity to develop a standard governance structure for the naming of facilities and settlements (eg, based on traditional names) that can be applied to PHC microplans and help build the capacity of governments to use open-source GIS software and data. We recommend that the state develop policies and procedures that address data quality issues, including those related to standardisation, systematic documentation (including description of data and sources), and the process of updating the databases with dates and technical notes on the changes made over time.

Population estimates

The VTS disaggregates population estimates based on GPEI operational boundaries. In instances where the GPEI operational boundary names matched the SPHCDA list, the team assigned population estimates. However, the majority of settlements in the SPHCDA list did not match the GPEI operational boundary names, so VTS population estimates could not be used at the settlement level for this activity. Because the VTS population estimates are based on polygons, we could not assign them to the SHPCDA settlements, which were identified as points rather than as polygons. Moving forward, efforts should be made to develop an algorithm that would allow population estimates based on a point rather than on a polygon, so that the population data could be used for health programme beyond polio eradication efforts.

Estimating travel distances for vaccination strategy sessions

To estimate travel time between settlements and their corresponding PHCs, the researchers used OSM, a free online service for which subsequent usage is not subject to copyright or licensing constraints. The literature identifies OSM’s dependency on volunteers to generate spatial data as a limitation. This type of voluntary data collection raises concerns around data quality and consistency (eg, data formats and lack of detailed information on street segments). Furthermore, it is not clear if the OSM roads are accurate, complete and authoritative, nor is it clear who generated the road layers. However, without another reliable source, OSM provides the current best option for incorporating travel time. Alternatively, governments, donors or the private sector could invest in a systematic and standardised data collection effort of the road network to ensure the data are regularly collected and quality procedures are enforced.

Stakeholder engagement

Involving local stakeholders early resulted in the identification of challenges inherent in reconciling data and provided opportunities to improve data quality. SPHCDAs did not recognise a number of settlements in the geodatabase because names had not yet been assigned. In addition, settlements in the geodatabase were defined based on land area (ie, walking distance in a day to deliver vaccines during a supplemental immunisation campaign) rather than on the community definition in the SPHCDA list. In this context, stakeholders, who are also future users of the digital maps, were able to identify a number of these data quality issues. In addition, communities and health providers at times offered rationales for why some settlements preferred to access a facility that did not align with geographic guidance due to issues that were not apparent when looking at geospatial data (eg, market days, ethnic tensions). This experience is consistent with previous public health interventions that found stakeholder involvement contributes to a well-rounded understanding of the programme and may influence intervention adoption.

Capacity development

In order for this approach to be deployed further by the Nigerian SPHCDA efforts, capacity building will be required. Training SPHCDAs on how to use GIS data will strengthen the use of the technology for decision making. While the Bauchi and Sokoto SPHCDAs were engaged in this study, a local consultancy firm conducted most of the technical GIS-related work. The SPHCDA should also consider recruiting GIS experts to support data collection and analysis of geospatial data.

CONCLUSION

Besides generating digital maps, this work highlighted challenges and lessons learnt in moving from RI paper maps to digital maps in a low-income country. It also demonstrated how locally available geographical data
and other datasets collected through various initiatives such as polio campaigns can be triangulated to create maps that guide planning and the expansion of service reach. In the case of the six study LGAs, key informants found the digital maps easy to use, enabling previously undocumented settlements and overlapping catchment areas to be identified and ultimately providing improved information for the assignment of individual settlements to different vaccination strategies. Challenges for health workers, health managers and policy-makers using this approach relate to the lack of a standard governance structure and system for naming health facilities and settlements, lack of source documentation on data and the reconciliation process, and inconsistencies across existing lists. The approach used in this study offers a methodology for validating digital maps that calls for stakeholders to reach consensus on settlement names and appropriate vaccination strategies and resources. Finally, it also demonstrates compelling reasons to consider use of this approach not only in RI but also in broader primary healthcare planning.

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