



# Effectiveness of a community-based education and peer support led by women's self-help groups in improving the control of hypertension in urban slums of Kerala, India: a cluster randomised controlled pragmatic trial

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## ABSTRACT

**Background** With less than 20% of people with hypertension achieving their target blood pressure (BP) goals, uncontrolled hypertension remains a major public health problem in India. We conducted a study to assess the effectiveness of a community-based education and peer support programme led by women's self-help group (SHG) members in reducing the mean systolic BP among people with hypertension in urban slums of Kochi city, Kerala, India.

**Methods** A cluster randomised controlled pragmatic trial was conducted where 20 slums were randomised to either the intervention or the control arms. In each slum, participants who had elevated BP ( $>140/90$ ) or were on antihypertensive medications were recruited. The intervention was delivered through women's SHG members (1 per 20–30 households) who provided (1) assistance in daily hypertension management, (2) social and emotional support to encourage healthy behaviours and (3) referral to the primary healthcare system. Those in the control arm received standard of care. The primary outcome was change in mean systolic BP (SBP) after 6 months.

**Results** A total of 1952 participants were recruited—968 in the intervention arm and 984 in the control arm. Mean SBP was reduced by 6.26 mm Hg (SE 0.69) in the intervention arm compared with 2.16 mm Hg (SE 0.70) in the control arm; the net difference being 4.09 (95% CI 2.15 to 4.09),  $p<0.001$ .

**Conclusion** This women's SHG members led community intervention was effective in reducing SBP among people with hypertension compared with those who received usual care, over 6 months in urban slums of Kerala, India.

**Trial registration number** CTRI/2019/12/022252.

## BACKGROUND

Elevated blood pressure (BP) is the leading risk factor for the burden of disease globally

## WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ Though there are many studies demonstrating the impact of peer support for better glycaemic control, limited studies are available documenting the impact of the same on reducing blood pressure (BP).
- ⇒ A systematic review, with all published randomised controlled trials till May 2018 to assess the effectiveness of peer-led intervention in improving the clinical outcomes of hypertension could find only three trials in this regard and none of them were conducted in a low-income and middle-income country (LMIC).
- ⇒ Meta-analysis of the three trials revealed a pooled mean reduction of 7.52 mm Hg in systolic BP levels among patients with hypertension.

## WHAT THIS STUDY ADDS

- ⇒ Our study is a cluster randomised trial from an LMIC with a fairly large sample size (1952) to examine the impact of a community-based education and peer support among people with hypertension.
- ⇒ We demonstrated that a community-based intervention for hypertension control could be incorporated into the existing social structures and such an approach can achieve high rates of participation and such partnerships have the potential to improve community health at modest marginal cost, even in populations with good access to formal healthcare.

and an important preventable cause of premature mortality.<sup>1</sup> High BP is one of the major risk factors for ischaemic heart disease, stroke, other cardiovascular diseases (CVDs), chronic kidney disease and dementia.<sup>2,3</sup> Estimates shows that prevalence of hypertension (defined as systolic BP (SBP)  $\geq 140$  mm Hg or diastolic BP (DBP)  $\geq 90$  mm Hg and/or

**HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY**

- ⇒ Intervention through peer groups in community is low cost and simple and can be easily scaled up to other parts of Kerala and other states in India with a substantial potential impact on uncontrolled hypertension and related cardiovascular disease (CVD).
- ⇒ There is also a potential to design and implement similar interventions in other LMICs where peer support groups are already active and contribute to the global agenda for hypertension control and CVD prevention.

current use of antihypertensive medication) has risen sharply in low-income and middle-income countries (LMICs).<sup>4</sup> Reducing population BP is critical to attain the United Nation's (UN) Sustainable Development Goal (SDG) target of reducing premature mortality from non-communicable diseases (NCDs) by one-third by 2030 compared with 2015.<sup>5</sup> Constituting 20% of the global population, India's progress is important for attaining these global targets. With less than 20% of people with hypertension achieving their target BP goals, uncontrolled hypertension remains a major unaddressed obstacle in India to achieve the SDG.<sup>6–8</sup>

Far along in the epidemiologic transition, Kerala, the southernmost Indian state, has a comparatively higher prevalence of CVDs (>5%) and hypertension (>26%) in the country.<sup>9</sup> Studies indicate that approximately half of the people with a diagnosis of hypertension in Kerala have received treatment and of those who receive treatment, around 30% have their BP controlled.<sup>10 11</sup>

Mixed results have been reported about the effectiveness of community health workers (CHW) in identifying and managing CVD in various states in India.<sup>12 13</sup> A recent systematic review with three trials on peer group support for hypertension found a beneficial effect for reducing SBP.<sup>14</sup> Though there are many studies demonstrating the impact of peer support among people with diabetes, few studies are available documenting the impact of peer support on reducing BP in the general community.<sup>14</sup>

In Kerala, 'Kudumbasree units', also known as women's self-help groups (SHGs), were created several decades ago under the leadership of local self-governments (LSG) and are one of the largest women's empowerment projects in the country.<sup>15</sup> Kudumbasree make efforts to alleviate poverty through an integrated approach involving effective union of resources and action. They combine different activities such as thrift and credit, microenterprises, income-generating activities and a wide range of welfare activities. Kudumbasree has chosen a family-based approach; it reaches the family through women and the community through families. The neighbourhood groups (NHGs) are the lowest tier, consisting of women from 20 to 30 households. At least one person from approximately 80% of low-income and middle-income households are registered members of Kudumbasree.<sup>15</sup>

Involving women's SHG members for reducing hypertension in the community by building their capacity as

peer educators could be a potentially low cost, flexible means to supplement formal healthcare support and will ensure community participation for health promotion. We hypothesised that a community-based education and peer support led by local women's SHG members is feasible and will be an effective strategy to improve community hypertension control. We conducted a community-based cluster randomised pragmatic trial (cRCT) to assess the effectiveness of a community-based education and peer support programme led by women's SHG members in reducing mean SBP among people with hypertension in urban slums of Kochi Corporation, Kerala, India.

**METHODS****Setting**

The Corporation of Kochi is the largest municipal corporation in the Indian state of Kerala by area and population size. It is the second most important port city on the western coast of India and is the commercial capital of the state. Kochi city has 231 slums, which are highly populated urban residential areas characterised by densely packed housing units of poor-quality build and inadequate public infrastructure. The total number of households in the slums was estimated as 12949 with a population of 60 678, nearly 11% of the population of the city. The population density is 5620/km<sup>2</sup> and the literacy rate is 97.3%. In 2016, 16 urban primary health centres (UPHCs) staffed by medicine practitioners were established in Kochi city under the National Urban Health Mission to provide free, quality-assured primary healthcare to the slum population. Monthly per capita income of slum residents is around US\$30.

**Study design**

A cRCT was conducted, with the slums as the clusters. The intervention was delivered through women's SHG members. Outcome assessments were conducted at the beginning and at the end of 6-month intervention. The study was conducted between June 2019 and October 2020.

**Stakeholder consultation**

A consultation workshop was organised to understand the perception of the stakeholders regarding the intervention. Overall, 15 people with hypertension, 5 primary caretakers of people with hypertension, 15 local women's SHG members, 5 elected local self-government representatives, 5 primary healthcare providers and 5 public health experts were invited for a full day in-person workshop. Attitudes towards the intervention were positive, and the study design and intervention were acceptable to all attendees. Many civil society representatives and SHG members suggested to include comprehensive interventions for diabetes mellitus along with the planned interventions. However, this was not possible due to budget and logistic limitations.

## Recruitment

Overall, 20 urban slums were randomly selected from the list of 231 slums notified by the Municipal Corporation. A house-to-house survey was conducted by trained nurse data collectors. All those who were above the age of 18 years and were permanent residents of the household were included in the survey. Pregnant women were excluded. BP was measured at least three times using the appropriate cuff size with a digital automatic BP monitor according to the WHO STEP wise approach to NCD risk factor Surveillance (STEPS) protocol. Measurement continued until two consecutive readings differed by <10 mm Hg systolic and <6 mm Hg diastolic, with a maximum of five measurements. The mean of the last two consecutive measurements were used to define hypertensive status. All those on antihypertensive medications and/or with a BP recording of more than 140/90 mm Hg were invited to the study. Those with high BP were referred to the primary health centre after an initial one-to-one education and were provided with an education booklet on BP control.

## Randomisation

Slums were randomised using a computer-generated random number table. Randomisation was done by a statistician who was not part of the research team. Overall, 10 slums were assigned to receive the peer support intervention led by SHG members and the other 10 to continue receiving usual care.

## Sample size

With an enrolment target of 10 clusters in each arm and 100 participants per cluster and an intraclass correlation (ICC) of SBP reduction of 0.02, the design yielded 90% power to detect a 5 mm Hg (SD 20) reduction in SBP in the intervention group than in the control group, with 95% CI.<sup>16</sup>

## Control arm

The control arm received usual care through clinics, private and public hospitals and UPHCs. Clinical consultation and basic investigations are provided free of cost at UPHCs and public hospitals. The average distance from the slums to UPHCs was 1.93 km (Range 0.4–4.9 km). The public hospitals follow the hypertension treatment protocol issued by the government of Kerala.<sup>17</sup> The government conducts periodic training to the staff of primary healthcare on the prevention and the management of NCDs. Antihypertensive drugs are often available in public hospitals for free or through numerous private chemist shops in the city for a monthly fee of approximately US\$2.

## Intervention arm

### Identification of women's SHG members

Local self-government counsellors, who are elected political representatives, in the intervention slums were requested to nominate one SHG member who was acceptable to all and interested in voluntary health-related

activities for every NHG. Forty-three SHG members were identified and trained and of them, two dropped out due to personal reasons of ill health and migration. The mean age of the SHG members was 43.6 (SD 7.8) years and mean years of education was 10.7 (SD 1.9) years. Fourteen of them were diagnosed to have hypertension.

### Training of women's SHG members

The training module included a facilitator's guide, a participant's guide, PowerPoint presentations, exercises to strengthen problem-solving skills and demonstration sessions for BP and anthropometry measurements. Chapters of the training module included an overview of CVDs, normal values of BP, blood sugar and anthropometry, complications of hypertension and diabetes mellitus, evidence-based locally adapted diet and physical activity recommendations, smoking cessation assessment and tips to encourage quitting, communication skills, basics of behaviour change, communication strategies, a practise session for using the health education materials, measurement and recording of BP and weight, conducting a patient support group meetings, initiating and supporting self-management of hypertension by the participants through goal setting and maintaining personal records. Trainings were conducted in groups of 15 members. Each training lasted for 21 hours across 3 days. After 2 months, 6-hour refresher training was conducted.

### Intervention by the trained women's SHG members

The key functions of 43 trained SHG members in this study were: (1) assistance in daily hypertension management; (2) social and emotional support to encourage healthy behaviours and (3) referral to the primary healthcare system and community resources. The SHG members from the intervention areas met all participants who had elevated BP or were on antihypertensive medications in their NHG households monthly and offered them services. Each of the 43 SHG member was equipped with a digital BP monitor, a digital weighing scale, a stadiometer, a pictorial flip chart for community education, referral slips and printed training materials for reference.

A. Peer group meetings: SHG members organised monthly meetings of people with hypertension at a location decided by the community members. Next of kin or additional support persons were also encouraged to accompany the person with hypertension at each group meeting. Each meeting lasted about an hour. The first 15 min was dedicated to a presentation on a health topic by a trained SHG member using a pictorial flip chart. Topics for the six sessions were (1) introduction of normal ranges of BP, chronic nature of hypertension, complications of hypertension and need to maintain normal BP, (2) 10 ways to reduce hypertension, (3) dietary modifications desirable to reduce BP, (4) benefits of exercise and the need to be physically active, (5) need for medication adherence and tips to ensure that and (6) smoking as a risk fac-



tor for hypertension and methods to quit. Participants were then asked to discuss their views and ask questions. They were then invited to share their experiences during the month including the difficulties they faced and solutions they used to control hypertension. The last 15 min in each session was dedicated for discussing another priority health issue in the community. Local self-government leaders and members from the primary healthcare team including health workers and community health volunteers were also invited to all the meetings.

- B. Monthly visits to participant: SHG members met participants in their homes and recorded their BP and weight and counselled on diet, physical activity and smoking cessation each month. They helped participants with goal setting and encouraged them to adopt healthy behaviours. They also enquired about medication adherence and referred them to primary healthcare centre when needed. They provided a summary of the proceedings of the SHG meetings to participants who could not attend.

### Basis of designing the interventions

We designed the intervention using the transtheoretical model focussing on the decision-making of the individual, tailored to their level of knowledge and motivation.<sup>18</sup> Through the monthly visits by trained SGH members and the structured education by them over six sessions, we intended to increase the awareness about the healthy behaviour among the beneficiaries and help them to self-reappraise to realise that the healthy behaviour is part of who they want to be. We felt that sharing experiences in the peer group meetings and support offered by their peer would (1) arouse them emotionally to adopt healthy behaviours, (2) provide opportunities to show that society is supportive of the healthy behaviour, (3) lead to commitment to change behaviour based on the belief that achievement of the healthy behaviour is possible and (4) help them in finding supportive relationships that encourage the desired change. We felt that periodic BP monitoring could reward their positive behaviour. We believed that the presence of SHG members as peer educators in the community could also re-engineer the environment to provide them cues that support and encourage the healthy behaviour.

### Records and reports

SHG members maintained a notebook which included details of meetings such as the number of enrolled participants, community members attending the meeting and major activities undertaken during the meeting. They also maintained a page for each study participant to record the details of monthly visits and their BP and weight.

### Data collection

Information at baseline and at the end of the 6-month intervention were collected by trained nurses from all enrolled participants. These measures comprised (1)

basic demographic information, (2) lifestyle-related factors such as tobacco use and per capita monthly consumption of salt using food frequency questionnaire, (3) awareness of hypertensive status and (4) details about the use of antihypertensive medications and medication adherence using a modified Morisky's 8-point medication adherence score.<sup>19</sup> SBP, DBP and body mass index (BMI) were measured for all participants at baseline and after 6 months of intervention. Out of pocket monthly expenditures related to the management of hypertension including direct and indirect costs for consultation, laboratory tests, drugs, hospitalisations, travel and wages lost were collected from patients by questionnaire at baseline and at the end of the intervention. Additional costs of the intervention, including training, BP monitors, weighing machines, stadiometer and programme management cost, were recorded.

### Blinding

Blinding was not done for the participants, those administering the interventions and the data collectors.

### Outcomes

The primary outcome was change in mean SBP. Secondary outcomes were the proportion of patients on antihypertensive medication and change in self-reported medication adherence scores, change in BMI, self-reported tobacco use and per capita monthly consumption of salt. The additional cost per patient per month for a unit change in BP (mm Hg) was calculated.

### Data analysis

Data was analysed using IBM SPSS Statistics (V.25) using the intention-to-treat approach. We compared change in selected primary and secondary outcomes between the intervention and control arms using a generalized estimating equations (GEE) model with an exchangeable working correlation structure. Baseline characteristics of study participants in the intervention and control groups were compared using  $\chi^2$  test for the categorical variables and independent samples t-test for the continuous variables. Small imbalances in baseline covariates were adjusted for in secondary analysis using a multivariable GEE model. Subgroup analysis was performed to find the mean change in the SBP by various subgroups and the robust score test was used to obtain the p value for the significance of any observed interactions of the intervention with the subgroups.

### Patient and public involvement

Opinions of people with hypertension and civil society representatives were obtained through a stakeholder consultation before the initiation of the study. Local self-government leaders, who are elected political representatives, in the intervention slums nominated the SHG members for each slum. Fourteen of the SHG members who delivered the intervention were having hypertension. Preliminary results of the intervention were disseminated in a workshop in which 4 LSG leaders, 44 SHG group

**Table 1** Baseline characteristics of clusters

	Intervention arm	Control arm	Total
A. Number of slums	10	10	20
B. Number of households	1209	1342	2551
C. Total population in the slums	4578	4961	9539
D. Out of C, no. (%) more than 18 years	3521 (77%)	3831 (77%)	7352
E. Out of D, blood pressure measured at baseline	2922 (83%)	3234 (84%)	6156
F. Out of E, no. (%) of individuals with hypertension	991 (33.9%)	1083 (33.4%)	2074
G. Out of F, no (%) recruited for the study	968 (97.6%)	984 (91%)	1952
H. Average distance to nearest government health facility (mean, range)	1.62 km (0.05–4.8 km)	1.93 km (0.4–4.9 km)	

members and 12 patient representatives participated. Preliminary results were also disseminated through local media.

Author reflexivity statement: see online supplemental material 2.

## RESULTS

A total of 1952 participants were recruited, 968 in the intervention arm and 984 in the control arm (table 1, figure 1).

Baseline characteristics of participants were fairly balanced between intervention and control groups (table 2). Although, the control arm included more patients receiving antihypertensive drugs (55.5%) compared with the intervention arm (49.5%) and the mean SBP at baseline was slightly lower in control arm than intervention (145.4 (SD 21.5) vs 147.7 (21.3)).

Number of people with hypertension with each trained SHG member varied from 18 to 29. Trained SHG members conducted 93% of the planned monthly visits to study participants. In the intervention arm, 2.5% opted out at various stages of intervention. Out of the 258 planned peer group meetings, 73% were held with an average attendance per meeting of 13 (SD 2.5). Proportion of male out of total participants was less than 33% in 12% of the peer group meeting. During the 6 months' period, 14.3% of the study participants in the intervention arm never attended a peer group meeting.

The mean reduction of the SBP among the participants in the intervention arm was 6.3 mm Hg (SD 21.1) compared with 2.2 (SD 21.3) in the control arm, with a net difference of 4.1 (95% CI 2.2 to 4.1),  $p < 0.001$ . For DBP, the mean reduction in the intervention arms was 2.5 (SD 11.9) compared with 1.1 (SD 11.8) in the control arm with a net difference of 1.5 (95% CI 0.4 to 2.6),  $p = 0.007$ . ICC for SBP reduction was 0.01. After adjusting for age, the net difference in the reduction of SBP between the intervention and control arm was 4.1 mm Hg (2.2, 6.1),  $p < 0.001$  and the same for DBP was 1.6 (0.5, 2.6),  $p < 0.001$ .

The net reduction in mean change in SBP among the overweight and obese was greater than that observed

among the normal and underweight participants (6.2 vs 1.7 mm Hg, respectively,  $p = 0.03$ ) (table 3). There was no other significant heterogeneity in the intervention effect observed by subgroups.

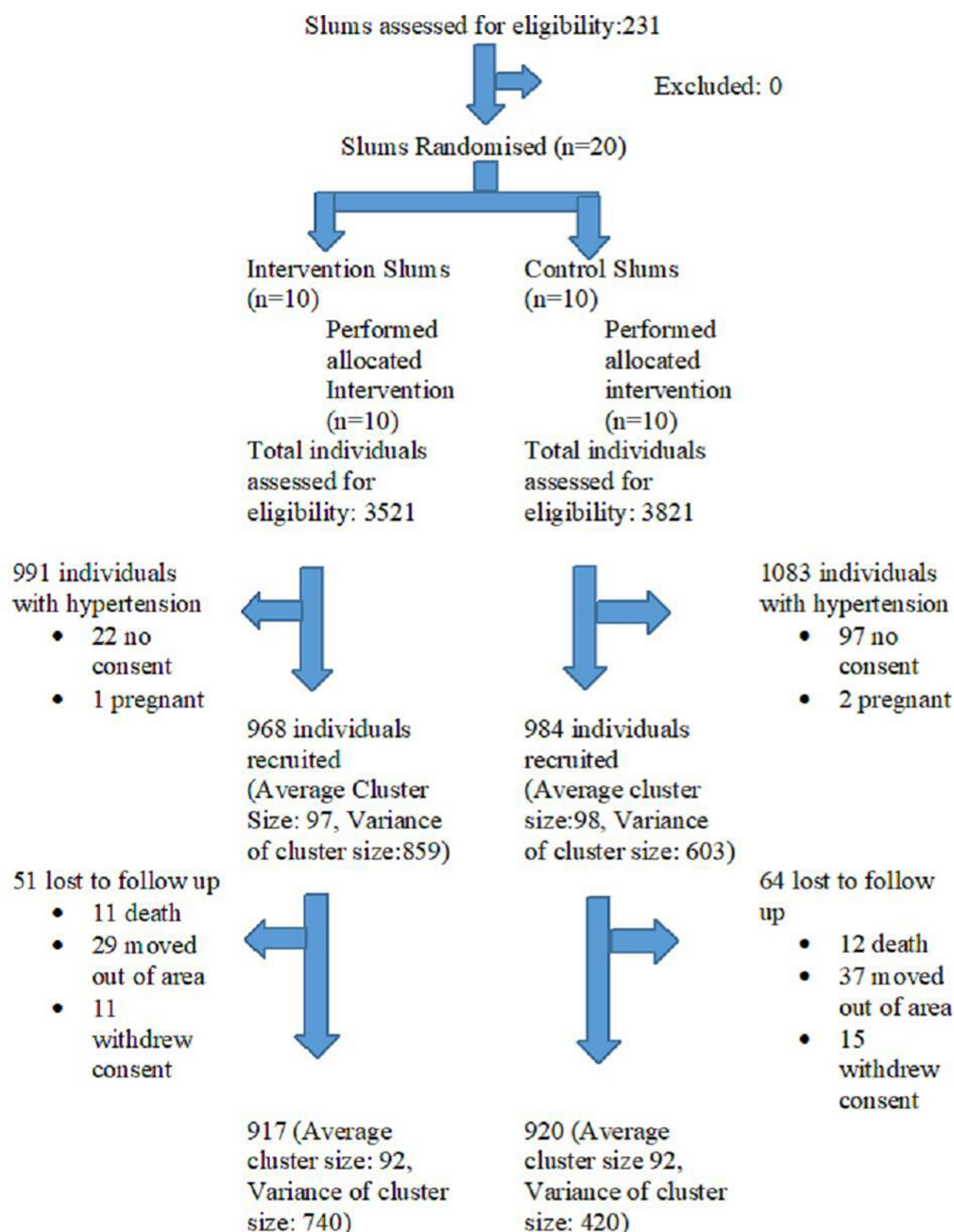
The net difference between change in the intervention arm when compared with the control arm showed significant increase in medication adherence scores by 0.9 (0.6, 1.1), a reduction in per-capita salt consumption by 1.1 g/day (0.7, 1.6) and an increase in coverage of treatment with antihypertensive drugs by 5.4% (2.5%–8.3%). We did not observe a significant change in BMI (table 4).

The mean monthly out-of-pocket expenditures for the management of hypertension among participants in the intervention arms did not change substantially (baseline: mean US\$9.0 (median 8.1, IQR 16.2) vs end line: mean US\$9.3 (median 8.1, IQR 14.0),  $p = 0.752$ ), (US\$1=74 rupees)). Total additional cost for the current programme interventions excluding the research cost, medicines and investigations was US\$36 680. Additional cost per patient per month for an overall mean reduction of 1 mm Hg in SBP was estimated as US\$1.54.

## DISCUSSION

Our results indicated that a community-based intervention led by women's SHG members was effective in reducing BP and improving hypertension control among people living in urban slums of Kerala, India. The reduction in BP was more evident among those with higher BMI. The intervention also significantly increased patients' adherence to antihypertensive medication and reduced per capita salt consumption.

A recent systematic review with three trials on peer group support for hypertension found a beneficial effect on SBP reduction with a pooled mean reduction of 7.52 mm Hg (95% CI: –14.39 to –0.66).<sup>14</sup> Our study findings are consistent with the findings from this systematic review. A recent CHW-led group-based education and monitoring intervention in India reported that there was a 5 mm Hg decline in SBP in the intervention than the control group within 3 months of intervention.<sup>20</sup> A multicomponent intervention including a CHW-led home-based intervention, physician education and weekly text messaging in



**Figure 1** Flow diagram of study participants.

a low-income setting in Argentina also showed a similar reduction in SBP over a 6-month period.<sup>16</sup> In our study, we did not engage or train the practitioners, rather the SHG members generated the demand for better BP control and motivated the patients to seek healthcare in a setting where practitioners generally follow standards of care.<sup>21</sup>

The observed reduction in SBP may be due to a combination of several different mechanisms: lower salt intake, higher adherence to antihypertensive medications among those newly and already initiated on treatment and treatment initiated among previously untreated hypertensive participants. A recent meta-analysis of RCTs, which determined the effects of longer-term modest salt reduction on BP, has shown that there will be around a 3 mm Hg

reduction in SBP for 5 g/day reduction of salt consumption among people with hypertension.<sup>22</sup> Therefore, our observed 1 g/day reduction in salt consumption may have contributed to approximately 0.6 mm Hg reduction in SBP. Studies have demonstrated that even mild improvements in medication adherence will reduce BP and a full dose of antihypertensive medications with high adherence reduces SBP by about 9 mm Hg, so 0.86-unit higher medication adherence score (out of maximum 8) observed in the current study might have contributed to approximately 1 mm Hg reduction in SBP. Also, it must be noted that an additional 14% of people with hypertension in the intervention area initiated antihypertensive medications, which likely contributed to further reduction in overall BP.

**Table 2** Baseline characteristics of participants (N (%) or mean (SD))

	Intervention arm (N=968)	Control arm (N=984)	P value
Age (years)	56.8 (12.4)	55.7 (12.5)	0.05
Female gender	584 (60%)	603 (61%)	0.35
Years of education	7.1 (3.7)	7.3 (3.4)	0.08
Widow/r/separated	157 (16%)	126 (13%)	0.02
History of diabetes mellitus	258 (27%)	232 (24%)	0.06
History of major cardiovascular disease	143 (15%)	125 (13%)	0.10
Body mass index (Kg/m <sup>2</sup> )	25.5 (4.8)	25.8 (4.6)	0.15
Systolic blood pressure (BP) (mm Hg)	147.7 (21.3)	145.46 (21.5)	0.02
Diastolic BP (mm Hg)	89.1 (12.2)	88.2 (11.6)	0.13
Prescribed an antihypertensive drug by a medical practitioner	479 (50%)	546 (56%)	0.01
Medication adherence score (out of 8)	4.5 (1.7)	4.6 (1.4)	0.39
Current tobacco smoking	94 (10%)	91 (9%)	0.73

In the current study, a significant BP reduction in patients in the control arm was also observed. Same pattern has been observed in many previous trials also.<sup>16 20</sup> Patients at control arm received BP measurements at baseline and those with high BP were referred

to the primary health centres after an initial one-to-one education. They were also provided with an education booklet on BP control. There was an improvement documented in the proportion of people initiated on antihypertensive medications and their medication adherence

**Table 3** Mean difference in the systolic blood pressure by subgroups

Characteristics	Category	Intervention arm		Control arm		Net difference (95% CI)	P value
		Number	Blood pressure change (SEM)	Number	Blood pressure change (SEM)		
Age	≥60 years	395	-6.53 (1.12)	364	-0.42 (1.17)	-6.10 (-9.29 to -2.92)	0.46
	<60 years	522	-6.05 (0.88)	556	-3.30 (0.87)	-2.75 (-5.19 to -0.32)	
Gender	Male	369	-5.45 (1.03)	358	-2.42 (0.99)	-3.02 (-5.84 to -0.20)	0.35
	Female	549	-6.80 (0.93)	562	-1.99 (0.95)	-4.81 (-7.44 to -2.17)	
Baseline body mass index	≥25	471	-6.99 (0.98)	504	-0.81 (1.01)	-6.17 (-9.95 to -3.39)	0.03
	<25	446	-5.49 (0.98)	416	-3.79 (0.94)	-1.69 (-4.38 to 0.99)	
H/o major CVD	Yes	134	-5.62 (1.80)	111	-2.48 (1.96)	-3.14 (-8.40 to 2.12)	0.71
	No	783	-6.37 (0.75)	809	-2.11 (0.75)	-4.25 (-6.34 to -2.15)	
Educational status	<8th grade	454	-6.52 (1.01)	418	-1.44 (1.12)	-5.08 (-8.04 to -2.12)	0.76
	≥8th grade	463	-6.05 (0.96)	502	-2.79 (0.88)	-3.25 (-5.82 to -0.69)	
Marital status	Living with spouse	766	-6.02 (0.75)	805	-2.34 (0.76)	-3.68 (-5.79 to -1.57)	0.30
	Widow/r/separated	151	-7.61 (1.85)	115	-1.04 (1.69)	-6.57 (-11.67 to -1.47)	



**Table 4** Effect of the intervention on medication adherence, body mass index, salt consumption, hypertension awareness, medications and tobacco use

Characteristics	Intervention arm		Control arm		Net difference (95% CI)	P value
	N	Mean or % change (baseline - post intervention) (SEM/SD)	N	Mean or % change (baseline - post intervention) (SEM/SD)		
Change in medication adherence score from baseline	389*	+1.44 (0.11)	452*	+0.58 (0.06)	+0.86 (0.61 to 1.11)	<0.001
Change in body mass index (kg/m <sup>2</sup> )	917	-0.40 (0.11)	920	-0.38 (0.12)	-0.02 (-0.30 to 0.35)	0.89
Change in average daily per capita salt consumption (g)	917	-0.83 (0.13)	920	+0.26 (0.16)	-1.10 (-1.6 to -0.66)	<0.001
% of participants who interpreted their blood pressure correctly	917	+13.6% (1.1)	920	-0.2% (0.15)	+13.8 (11.2 to 15.7)	<0.001
% of participants on antihypertensive medicines	917	+14.2% (1.1)	920	+8.8% (0.9)	+5.4% (2.48 to 8.32)	<0.001
% of current tobacco smokers	917	-1.5	920	-0.3	-1.2% (-0.33 to -2.33)	<0.001

\*Assessed for those who were taking antihypertensive drugs at the baseline.

in the control arm. This would have resulted in the reduction in BP.

We have demonstrated that a community-based intervention for hypertension control could be incorporated into the existing social structures and such an approach can achieve high rates of participation. Such partnerships have the potential to improve community health at a modest marginal cost, even in populations with good access to formal healthcare. Given that a 5 mm Hg reduction of SBP could reduce the risk of major cardiovascular events by about 10%, these findings have great public health significances.<sup>23</sup>

A systematic review found that community-based interventions aiming at positive behaviour change and medication adherence for reducing BP were considered cost-effective and in trials in LMICs, cost of such educational interventions ranged from US\$0.62 (China) to US\$29 (Pakistan) for a 1 mm Hg reduction in SBP.<sup>24</sup> In the current study, additional cost per patient per month for an overall mean reduction of 1 mm Hg in SBP was estimated as US\$1.54. The lower cost of the interventions makes this strategy a likely good option for Indian settings and potentially other LMICs.

Our study had several major strengths. Clusters were randomised, and the recruitment of the participants was systematic. We accounted for the slight imbalance in baseline characteristics through multivariate analysis and findings were unchanged. Outcomes were collected by trained data collectors who were not involved in the intervention, thereby reducing systematic bias and

measurement error. Possibility of intervention contamination was less as the slums selected were far apart. Finally, as a pragmatic randomised trial, we had only a few eligibility criteria, increasing the generalisability of our results to the population of hypertensive patients in slums of Kerala or even India and similar LMICs.

Our results should be interpreted in the light of several limitations. Changes in self-reported measures, such as salt intake, may be less reliable compared with objective measures. Reporting bias may occur if people in the intervention group are biased toward their own perceptions regarding the intervention efficacy. Additional costs incurred by primary healthcare system due to increased access by beneficiaries in terms of drugs, investigations and other healthcare services were not included while estimating the cost of the intervention. Incremental cost-effectiveness ratio for quality adjusted life years saved was not calculated because extensive assumptions were necessary for modelling, which was outside the scope of this report.

To summarise, a community-based intervention led by women's SHG members was effective in reducing BP among people with hypertension over 6 months in urban slums of Kerala, India. This intervention is low cost and simple and can be scaled up to other parts of Kerala or other states in India with a substantial potential impact on uncontrolled hypertension and related CVD. Currently, India has over 6.6 million SHGs with 72 million members.<sup>25</sup> Further research is needed to understand how this intervention might be adapted for other areas



where the health system and the SHGs are weak. Working with wider community groups other than SHGs could further expand access to this intervention. There is also a potential to design and implement similar interventions in other LMICs where peer support groups are already active and contribute to the global agenda for hypertension control and CVD prevention.<sup>45</sup>

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**Contributors** RPS conceived the idea, all authors (RPS, RBA, SM, JCM, MN, BKV, RV, GD and DS) contributed to the design of the work. RPS, RBA, SM, JCM, MN and BKV were involved in the acquisition of data. GD, RV and DS contributed to analysis, all authors (RPS, RBA, SM, JCM, MN, BKV, RV, GD and DS) interpreted the data. RPS and RBA drafted the manuscript, all others (SM, JCM, MN, BKV, RV, DS and GD) revised it critically for important intellectual content. All authors (RPS, RBA, SM, JCM, MN, BKV, RV, GD and DS) approved the final version to be published. RPS acts as guarantor.

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**Competing interests** None declared.

**Patient and public involvement** Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

**Patient consent for publication** Not applicable.

**Ethics approval** This study involves human participants. The study was approved by institutional review board and ethics committee of Amrita Institute of Medical Sciences, Kochi, India (reference number: IEC-AIMS-2018-PBHLH-90) (for original protocol submitted to IEC, see online supplemental file 1). Participants gave informed consent to participate in the study before taking part.

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data availability statement** Data are available upon reasonable request. Data collected for the study, including individual deidentified participant data and a data dictionary defining each field in the set, study protocol, informed consent forms will be made available to others for a period of three years from the date of publication of the article, with a signed data access agreement and on due approval from the institutional ethics committee of Amrita Institute of Medical Sciences, India, on

submission of request to the principal investigator explaining the purpose for which the data will be used along with other relevant supporting documents.

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**Author note** The reflexivity statement for this paper is linked as an online supplemental file 1.

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## Supplemental Material 2

**Author Reflexivity Statement**

Domain	Questions	Response
Study conceptualisation	How does this study address local research and policy priorities?	With less than 20% of people with hypertension achieving their target BP goals, uncontrolled hypertension remains a major unaddressed obstacle in India to achieve the Sustainable Development Goals. We hypothesised that a community-based education and peer support led by local women's self-help group members is feasible and will be an effective strategy to improve community hypertension control. Involving women's SHG members for reducing hypertension in the community by building their capacity as peer educators could be a potentially low-cost, flexible means to supplement formal health care support and will ensure community participation for health promotion.
	How were local researchers involved in study design?	Principal Investigator (First author) and 6 other investigators (Total 7 out of 9 investigators) are local researchers. First author (RPS) conceived the idea and all authors contributed to the design of the study.
Research management	How has funding been used to support the local research team(s)?	The study has been supported with funding from the Bernard Lown Scholars in Cardiovascular Health program at the Harvard T H Chan School of Public Health, Boston, USA & contributions from Amrita Institute of Medical Sciences, India. The local researchers were supported through contributions from the Amrita Institute of Medical Sciences, India.
Data acquisition and analysis	How are research staff who conducted data collection acknowledged?	Six investigators who were involved in the data collection were included as authors (RPS, RBA, SM, JCM, MN, BKV). Other staff who were involved in assisting in data collection were acknowledged.
	How have members of the research partnership been provided with access to study data?	Original data was available only with the local investigators (RPS, RBA, SM, JCM, MN, BKV, RV). Investigators from high income countries (GD, DS) did not had access to original data. This decision was a collaborative one and based on the directions from the Institutional Review Board and Ethics Committee of Amrita Institute of Medical Sciences, Kochi, India to prevent unnecessary transfer of data abroad the country.

	How were data used to develop analytical skills within the partnership?	Investigators from high income countries (GD, DS) guided in analysing the data and interpreting it. DS was the mentor of the PI for the Bernard Lown Scholarship program through which the study was supported. DS guided in performing the data analysis systematically.
Data interpretation	How have research partners collaborated in interpreting study data?	Interpretation of the data was collective effort of all authors. Discussions were held with the principal investigator and investigators from high income countries (GD, DS) through five virtual meetings specifically for interpreting the study findings. All authors contributed in interpreting the findings through emails.
Drafting and revising for intellectual content	How were research partners supported to develop writing skills?	RPS, RBA drafted the manuscript, all others revised it critically for important intellectual content.
	How will research products be shared to address local needs?	Preliminary results of the intervention were disseminated in a workshop where all local stakeholders and policy makers attended. Preliminary results were also disseminated through local media. Formal report of the study will be submitted to state and national government.
Authorship	How is the leadership, contribution and ownership of this work by LMIC researchers recognised within the authorship?	RPS, RBA, SM, JCM, MN, BKV, RV (Total 7 out of 9 authors) are from LMIC.
	How have early career researchers across the partnership been included within the authorship team?	Two (RBA, SM) out of 9 researchers are early career researchers.
	How has gender balance been addressed within the authorship?	Five (RBA, SM, BKV, RV, DS) out of 9 authors are female.
Training	How has the project contributed to training of LMIC researchers?	The PI (RPS) had been mentored by the investigators from high income countries (GD, DS) throughout the conduct of the study.



Infrastructure	How has the project contributed to improvements in local infrastructure?	The project has built the capacity of 43 women self-help group members and equipped them with equipment for blood pressure monitoring, anthropometry and flipcharts for community education. After the study, the project has donated that equipment to them for continuing the services.
Governance	What safeguarding procedures were used to protect local study participants and researchers?	Data collection, data storage, data analysis, data sharing and dissemination followed the principles laid down by the 'National ethics guidelines for biomedical and health research involving human participants' by Indian Council of Medical Research. <sup>1</sup> Institutional Review Board of Amrita Institute of Medical Sciences, India had examined and monitored the processes that were put in place to safeguard participants' privacy and confidentiality. Agreement on authorship had been laid down at the beginning of the study itself.

<sup>1</sup>Indian Council of Medical Research. National ethics guidelines for biomedical and health research involving human participants. Available from: [https://ethics.ncdirindia.org/asset/pdf/ICMR\\_National\\_Ethical\\_Guidelines.pdf](https://ethics.ncdirindia.org/asset/pdf/ICMR_National_Ethical_Guidelines.pdf). Date accessed: Sep 14, 2022

**AIMS Faculty Research Proposal**

ITEMS		
1.	<b>Title of Research Study:</b>	Effectiveness of a community-based education and peer support led by women self-help group members in improving the control of hypertension: an implement research in urban slums of Kochi city, Kerala, India
2.	<b>Study Objectives:</b>	
	<b>Primary:</b>	To assess the effectiveness of a community-based education and peer support led by women self-help group members in reducing the mean systolic blood pressure among people with hypertension in urban slums of Kochi Corporation, Kerala, India
	<b>Secondary</b>	<p>To assess the effectiveness of the programme in improving hypertension self-care behavior (diet, physical activity, smoking) and adherence to medications in the same population</p> <p>To study the acceptability, adoption, appropriateness, feasibility, fidelity, cost effectiveness and coverage of community-based education and peer support led by women self-help group members in the same population</p>
3.	<b>Background, Rationale &amp; Current relevance of the proposed study</b>	
	Background and summary of key findings from the Literature Review	<p>Kerala, a state in southern India has achieved impressive improvements in health as many of its social and health indicators like Human development index (0.84), Infant mortality rate (6/1000), sex ratio (1084 females to 1000 males) and Female literacy (93%) are at par with most of the developed countries.(1) Cardiovascular Disease (CVD) mortality rate in Kerala is alarmingly as high as 382 per 100000 for men and 128 for women; the prevalence of CVD has almost tripled over two decades.(2-4) Hypertension is directly responsible for 57% of all stroke deaths and 24% of all coronary heart disease deaths in India.(5) Prevalence of hypertension among people above 18 years in Kerala was reported to be as high as 40%.(6,7) Recent observations indicate that only approximately half of the people with a diagnosis of hypertension in Kerala receive treatment and, of this population, only 30% have their blood pressure within the therapeutic target.(6,7)</p> <p>A study to estimate the prevalence of Hypertension in Kochi found that the overall prevalence of hypertension was 40.8% (95% CI 39.4-42.2)(7) Among them nearly 50% were newly detected and among the known hypertensive, nearly two third had uncontrolled hypertension. The study also found that high salt intake (OR 3.62) and poor adherence to medication (OR 4.45) were associated with uncontrolled hypertension status. (8) Another study done to estimate the prevalence of adherence to hypertensive medication has shown that adherence was not good in 54% of people with hypertension and on treatment. Risk factors of poor adherence identified were poor knowledge of the complications (OR 2.12), availing treatment from Government pharmacy (OR 2.37) and being asymptomatic at the time of diagnosis (OR 3.34). Nearly 75% were getting treatment from private clinics/hospitals and about half (48.7%) of the respondents reported that it took less than 15 minutes for them to reach the healthcare facility they consult. 85% had an out of pocket expenditure for treatment of hypertension and the mean monthly expenditure among those had an out of pocket</p>

	<p>expenditure on medicines was Rs.772.62±935.6 which is 4.77±4.23% of the monthly family income. (9)</p> <p>“Kudumbasree units” in Kerala- Women Self Help Groups under the leadership of Local Self Governments are one of the largest women empowering projects in the country. (10) The programme makes all the effort to alleviate poverty through an integrated approach involving effective union of resources and action. It combines different kind of activities like thrift and credit, micro enterprises, income generating activities and a wide range of welfare activities. Kudumbashree chooses a family based approach; it reaches the family through women and the community through these families. Neighbourhood group is the lowest tier consisting of 15 to 30 women members. Meetings are arranged on a weekly basis, in the house of one of the NHG members.</p> <p>Peer support is increasingly being explored as a possible solution for populations with limited access to healthcare. There is growing evidence that Peer support is an effective tool to improve health outcomes and long term management of chronic diseases.(11-16) A meta-analysis of 17 studies shown that peer support interventions for diabetes overall achieved a statistically significant but minor improvement in HbA1c levels.(17) Involving women self-help group members for early diagnosis of hypertension in the community and building their capacity as peer educators will be a potentially low-cost, flexible means to supplement formal health care support and will ensure community participation for health. There are mixed results for the effectiveness of community health workers (CHWs) in identification and management of cardiovascular disease in various settings in India. (18,19)</p> <p><b>Reference</b></p> <ol style="list-style-type: none"> <li>1. Government of India. National Family Health Survey-4 (NFHS-4) 2015-16. State Fact Sheet. Kerala. Ministry of Health and Family Welfare, GOI. New Delhi 2016. Available from: <a href="http://rchiips.org/NFHS/pdf/NFHS4/KL_FactSheet.pdf">http://rchiips.org/NFHS/pdf/NFHS4/KL_FactSheet.pdf</a> [accessed on July 12, 2017]</li> <li>2. Soman CR, Kutty VR, Safraj S, Vijayakumar K, Rajamohanan K, Ajayan K. AllCause Mortality and Cardiovascular Mortality in Kerala State of India: Results From a 5-Year Follow-up of 161 942 Rural Community Dwelling Adults. <i>Asia Pac J Public Health</i>. May 10 2010.</li> <li>3. Krishnan MN, Zacharia G, Venugopal K. Prevalence of coronary artery disease and coronary risk factors in Kerala, south India-a community based cross sectional study. <i>BMC cardiovasc disord</i>. 2016;16:12</li> </ol>
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	Rationale of the Study:	<p>Community participation is an essential component for ensuring primary health care. Communities that begin to understand their health status rather may be moved to take a series of preventive measures and health education is most effective in the context of community activities. Volunteers for health from community might have the confidence of the people. Peer support is increasingly being explored as a possible solution for populations with limited access to healthcare.</p> <p>If the program is shown to be feasible and effective, the results will be used to make recommendations and dissemination plans on how to implement and sustain it at similar settings within Kerala and outside. Potential for scalability is huge considering the large number of SHG s in the country. Components for diabetes and hypercholesterolemia control could be integrated</p>

		in a phased manner. Lessons from this study will contribute to disease prevention at a global level as the lessons learnt could be suitably adapted across other similar settings in low and middle-income countries.
4.	<b>Study Description</b>	
	(a) Study Design	Implementation Research- Cluster Randomized Control Trial
	(b) Study setting	The Corporation of Kochi is the largest municipal corporation in Kerala both in area and population. This is the second most important port city in the western coast of India and is the commercial capital of the State. There are 231 slums in Kochi city. The total households in all the slums were estimated as 12,949 with a total population of 60678 which constitutes nearly 11% of population of the City. Primary health care system in City, like other urban areas in Kerala, was not well established and was functioning sub optimally with weak referral system and inadequate attention to public health till recently when 16 urban Primary Health Centers were set up in Kochi city under National Urban Health Mission. The study will be carried out in 20 randomly selected slums in Kochi city.
	(c) Study Methodology	<p><b>Summary of study methodology attached as Fig 1 at the end of this document.</b></p> <p><b>Step 1: Consultation meeting with Self Help Group (SHG) group members, Local Self Government (LSG) leaders and intended participant group for finalising the intervention strategy:</b> Involving the community in planning community intervention will be beneficial to check for its feasibility and sustainability. A consultation workshop will be designed with an objective to exchange information and to discuss the strengths, weaknesses, opportunities and threats of the proposed intervention; and to obtain perception of the stakeholders regarding the intervention and to finalise the intervention. Active discussion will be entertained in a welcoming environment. 15 people with hypertension, 5 primary care takers of people with hypertension, 15 local self- help group members, 5 elected local self -government representatives, 5 primary health care workers and 5 experts will be invited for a full and to obtain perception of the stakeholders regarding the intervention and to finalise the intervention. Active</p>

	<p>discussion will be entertained in a welcoming environment. 15 people with hypertension, 5 primary care takers of people with hypertension, 15 local self-help group members, 5 elected local self-government 3 representatives, 5 primary health care workers and 5 experts will be invited for a full day workshop. The research idea and intervention will be presented to them. They will discuss together about feasibility and sustainability of the intervention. They will be divided in groups to discuss the SWOT of the intervention. Modification in intervention strategies will be welcomed and will be finalised.</p> <p><b>Step 2: Developing and testing the training curriculum:</b> A training module will be developed consisting of 20 sections. The modules will include a facilitator's guide, participant's guide, PowerPoint presentations, and exercises with problem solving skills and demonstration sections of Blood pressure and anthropometry measurement. It will include overview of Cardiovascular Diseases, normal values of BP, Blood sugar and anthropometric measurements, complications of hypertension and diabetes mellitus, evidence based locally adapted practical diet and physical activity recommendations, smoking cessation assessment and tips to encourage quitting, communication skills, Behaviour Change Communication strategies, practise session on using health education materials, measurement and recording of BP and weight, DOs and DONTs in patient support group meetings, initiating and supporting self-management of hypertension by the participants through goal-setting and maintaining records of each meeting and participant. The curriculum will be finalised in a two day workshop with 20 experts in the field. Initial training will be conducted for 20 SHG members with an intention to pilot test the module. A pre and post-test with 20 questions and 2 Objectively Structured Practical skills will be conducted. After each session and at the end of the workshop feed-back will be sought from the participants regarding the content and methodology. The module will be modified based on their feed backs.</p>
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	<p><b>Step 3: Cluster randomised pragmatic trial to test effectiveness of the interventions</b></p> <p><b>a. Recruitment strategies</b> 20 urban slums will be selected using simple random sampling. A house to house survey will be conducted by trained data collectors. All those who are above the age of 18 years and are permanent residents of the place will be included in the survey. Standardised clinical measurements will be collected as follows; arterial BP after the participant has sat quietly for at least 5 min. BP is measured at least three times at using the appropriate cuff size and a Digital Automatic Blood Pressure Monitor according to the WHO STEPS protocol. Measurement continues until two consecutive readings differ by</p> <p><b>b. Selecting SHG Volunteers</b> Concerned Local Self Government division counsellor (elected representative) in the intervention areas will be requested to nominate one Self Help Group members per 20 houses. The criteria for selecting SHG member will be given to LSG counsellor as a women staying in the community, acceptable to most of the households and is interested in doing volunteer health activity. Identified SHG members will undergo three days training (21 hours) in batches of 20.</p> <p><b>c. Inclusion criteria for participants</b> The SHG members from intervention area will meet all those who had higher blood pressure in the houses allotted to them and invite them for intervention. All those who are above the age of 18 years and are permanent residents of the place with any of the following criteria will be included a) Indicate they are aware of being hypertensive b) are identified as having an average SBP of <math>\geq 140</math> mm Hg and/or DBP <math>\geq 90</math> mm Hg at the cross sectional survey, subsequently attend their primary healthcare provider and are then formally clinically diagnosed with hypertension. Verification occurs by sourcing the medical record, contacting the healthcare provider or by confirmation of use of medication(s) for hypertension c) have an average BP of <math>\geq 140</math> mm Hg SBP and/or <math>\geq 90</math> mm Hg DBP during the baseline survey and then, at the time of recruitment to the intervention (or control), have their BP</p>
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		<p>remeasured, and are found to still have an average BP of <math>\geq 140</math> mm Hg SBP and/or <math>\geq 90</math> mm Hg DBP. Pregnant women will be excluded.</p> <p><b>d Intervention Package</b> The four key functions of SHG members in this study will be: (i) assistance in daily hypertension management; (ii) social and emotional support to encourage management of behaviors; (iii) linkage to primary health care system and community resources; and (iv) ongoing availability of support for chronic disease management and co-morbidity</p> <p><b>e1. Intervention 1-</b> Group meetings SHG members are expected to organise once a month group meetings at a location decided by the community members. Each meeting will last for an hour. First 15 minutes will be presentation on a topic by SHG member using flip chart. Participants will be asked to reflect their views and clarify doubts on the topic. Then they can share their experiences during the month including the difficulties they face and innovative methods they used to control hypertension and diabetes mellitus. Last 15 minutes will be for discussing another priority health issue in the community. Health education will be delivered using pictorial flip charts on the following topics- one topic per meeting in serial order 1) Introduction- What is hypertension? Normal ranges of BP. Chronic nature of hypertension. Complications of hypertension and Need to maintain normal BP, 2) 10 ways to reduce hypertension- Overview, 3) Dietary modifications desirable to reduce Blood pressure, 4) Benefits of exercise and need to be physically active, 5) Need for medication adherence and tips to ensure that and 6) Smoking as a risk factor for hypertension and methods to quit. LSGD leader (Elected representative) will be invited to visit at least one meeting. Members from primary health care team (ASHA, Multipurpose health workers) will also be invited for all the meetings. Next of kin or additional support persons will also be encouraged to accompany the person with hypertension at each group meeting. 5</p>
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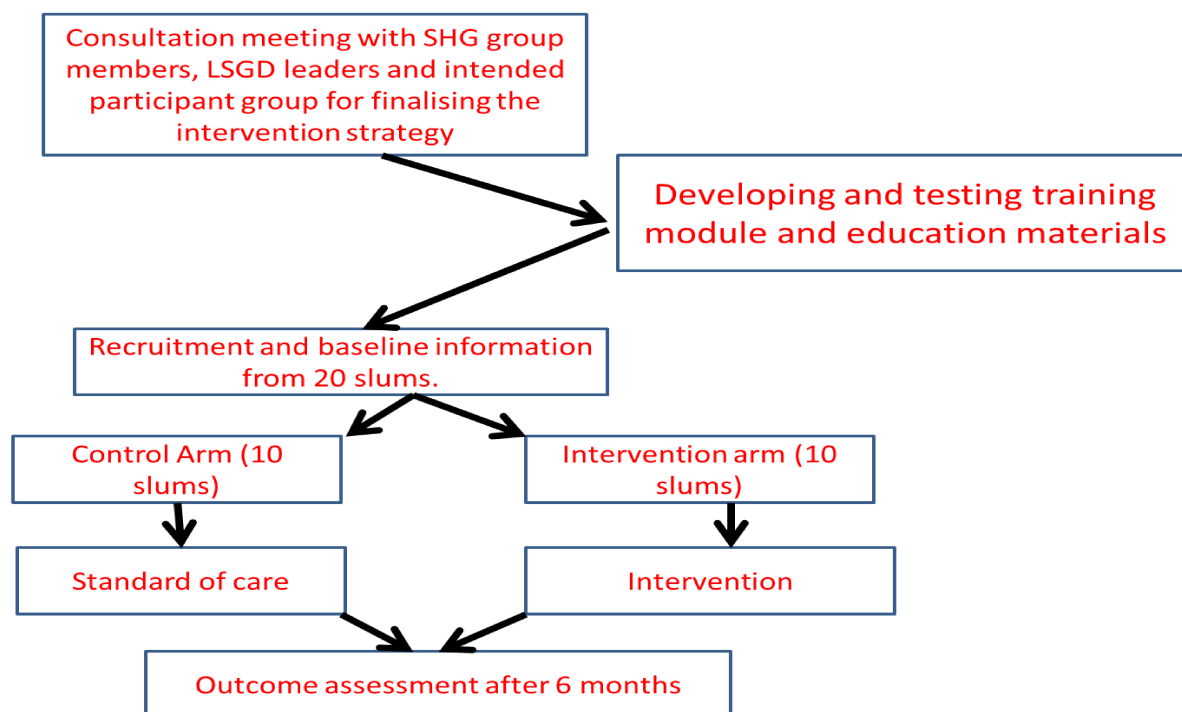
		<p><b>e 2. Intervention 2-</b> Monthly visits to participants SHG member will record Blood Pressure and weight, counsel on diet, physical activity and smoking cessation on a monthly basis. They will help in assisting with goal setting and support for behavioural change. They will also enquire about medication adherence and will link them to primary health system, if desired. They are expected to visit members who did not attend the meeting and brief them about the meeting proceedings.</p> <p><b>e 3. Maintaining Reports</b> SHG members will maintain a report which includes details of the meetings such as the number of enrolled participants and community members attending the meeting, and major activities undertaken during the meeting. Additional activities by the SHG member to extend the healthy behaviour messages, such as tobacco cessation and physical activity, as well as behaviours specific to the management of hypertension from the meeting to the wider community will also be mentioned in the report book. They also need to maintain participant record capturing individual information and follow-up details of each participant.</p>
	(d) Study duration:	Total duration of the study is 18 months. Intervention will be for 6 months.
5.	<b>Study tools:</b>	<p><b>Indicators for outcome assessment and their measurements are shown in Table 1 at the end of this document.</b> Community will be revisited after 6–10 weeks after the last meeting (after 6 months of intervention) to complete final data collection. Changes in continuous and categorical variables are assessed relative to their values determined at baseline. These include Systolic BP, Diastolic BP, BMI. Medication initiation and any dosage changes (observed and documented) will be recorded. Change in diet practices and physical activity over the 2 weeks prior to final administration of the questionnaire will be assessed. Coverage: overall response rate (people with hypertension, number participated in meetings, number visited by SHG members) will be calculated and participants who discontinue the programme will be asked to complete a</p>

		<p>programme evaluation form. Barriers to attending the meetings will be assessed, as are engagement and usage of health services during the period of the intervention. Costs effectiveness of the intervention will be assessed from a societal perspective. Acceptability, adoption and appropriateness will be assessed using Focus group discussions with beneficiaries (2 per each slum in the intervention arm till saturation), SHG members (2 FGDs) and LSGD leaders (1 FGD). Ethnography and narrative approach will be used to describe main factors influencing the implementation in the given context. Meeting report will be used for the assessment of fidelity of the meeting structure and content to the protocol.</p>
6.	<b>Sample size and Sampling Technique:</b>	<p>20 urban slums will be selected using simple random sampling. A house to house survey will be conducted by trained data collectors. All those who are above the age of 18 years and are permanent residents of the place will be included in the survey. Slums will be randomised in to two. One arm (10 slums) for peer support intervention led by SHG members and other (10 slums) serve as controls. Only external intervention in control areas will be the baseline and outcome assessment surveys..</p> <p>Average number of eligible participants in each slum will be around 100, considering 20% non-participation. Thus there will be a total of 1000 participants per arm (10 clusters on each arm and 100 participants per cluster). With an ICC of BP measurement in the community as 0.027 (obtained from a similar study done in Argentina), this will give an effective sample size of 275 on each arm, which has 91% power to detect a 5mm of Hg reduction in systolic BP, with 95% confidence, SD of parameter as 20mm of Hg and assuming ICC of BP reduction as 0.8.<sup>20</sup> Since this study incorporates a variety of non-pharmacological interventions and also tries to generate demand for pharmacological interventions, improve medication adherence and establish referral linkages, expecting a reduction of 5mm of Hg is justified.<sup>13,14,20</sup></p>
7.	<b>Selection of study participants:</b>	

	(a) Inclusion criteria:	All those who are above the age of 18 years and are permanent residents of the place with any of the following criteria will be included a) Indicate they are aware of being hypertensive b) are identified as having an average SBP of $\geq 140$ mm Hg and/or DBP $\geq 90$ mm Hg at the cross-sectional survey, subsequently attend their primary healthcare provider and are then formally clinically diagnosed with hypertension. Verification occurs by sourcing the medical record, contacting the healthcare provider or by confirmation of use of medication(s) for hypertension c) have an average BP of $\geq 140$ mm Hg SBP and/or $\geq 90$ mm Hg DBP during the baseline survey and then, at the time of recruitment to the intervention (or control), have their BP remeasured, and are found to still have an average BP of $\geq 140$ mm Hg SBP and/or $\geq 90$ mm Hg DBP.
	(b) Exclusion criteria:	Pregnant women will be excluded.
8.	<b>Informed Consent:</b>	Document attached
9.		
	Procedure for Measurements:	Details provided in Table 1 at the end of this document. Standardized clinical measurements will be collected as follows; arterial BP after the participant has sat quietly for at least 5 min. BP is measured at least three times at using the appropriate cuff size and a Digital Automatic Blood Pressure Monitor according to the WHO STEPS protocol. Measurement continues until two consecutive readings differ by
	Details of data to be collected:	Details provided in Table 1 at the end of this document
10.	Statistical details:	Data analysis will be based on intention to treat. The primary outcome, change in systolic blood pressure at six months, will be compared between the intervention and control arms using a generalized estimating equations approach using the robust variance and an MDEP working correlation matrix to take within-slum clustering into account, making assessment of normality of residuals unnecessary, with the individual change in systolic blood pressure the dependent variable. In secondary analysis, if there are some differences in risk factors for blood pressure between the individuals and slums in the intervention vs. control arms, confounding will be controlled for in secondary analysis using a multivariable GEE model. A similar approach will be taken with the other continuous secondary outcomes, such as BMI. Differences in the proportions of process outcomes, such as adherence, between the intervention and control arms at the end of follow-up, will also be compared using the GEE approach, with the



		log link function, taking clustering within slums into account with a working exchangeable correlation matrix. The level of statistical significance will be set at $p < 0.05$ . FGDs will be audio-taped and will be transcribed verbatim. Themes will be identified and coded. The team will read the transcripts and notes and will reach a consensus. Any disagreements will be discussed regularly within the team to reach a consensus regarding theme coding. Sections with similar coding will be grouped according to the predetermined themes. Repeated themes will be marked as important in red font color. All the flagged statements will be put together and synthesized. Important quotations will be quoted which evoked spontaneous discussion, around which a lot of time is spent and have some emotional cues attached with.
11.	<b>Study Requirements</b>	
	Equipment required:	Attached as Budget in annexure
12	<b>Study Budget</b>	Attached as budget in annexure
13	<b>Investigator Details</b>	Dr Rakesh PS <a href="mailto:rakeshrenjini@gmail.com">rakeshrenjini@gmail.com</a> ; 9495537333; 8281315877
15	<b>Designation of PI</b>	Faculty-in-Charge Centre for Public Health, AIMS & Visiting Scientist, Harvard TH Chan School of Public Health, USA
16	<b>Department</b>	Centre for Public Health, AIMS & Department of Global Health & Population Medicine, Harvard TH Chan School of Public Health, USA
17	<b>Date of Submission</b>	19.05.18
18	<b>Remarks by co-ordinator</b>	

**Fig 1. Steps in the study**

**Table 1. Outcome assessment, indicators, tools and time of assessment**

Objective	Variables/Indicator	Measurement Tools	B*	FU*
Effectiveness in reducing blood pressure	Mean change in systolic blood pressure	Digital Automatic Blood Pressure Monitor	×	×
Hypertension self-care behaviour (diet, physical activity, smoking)	Mean change in BMI Smoking cessation rates Change in diet practices Mean change in HbA1c	Stadiometer and weighing scale WHO STEPS questionnaire Food frequency questionnaire HbA1c	×	×
Adherence to anti-hypertensive medication	Medication adherence score	Modified version of Morisky's 8 point adherence scale	×	×
Coverage	% of eligible people utilised various intervention	Survey results, Meeting reports		×
Acceptability, appropriateness, Feasibility	Qualitative Measurements- Perceptions of people, SHG members and LSGD leaders	FGD Guide		×
Fidelity		Checklist , Meeting reports, patient reports		×
Cost	Incremental cost per change in 1 mm Hg of blood pressure	Costing (Account books)		×

\*B- Baseline, FU-Follow up at the End of the intervention

From  
Dr Rakesh PS  
Faculty-in-charge  
Centre for Public Health

To  
The Chairman  
Institutional Review Board  
AIMS

Through Head of the Department, Department of Public Health

Sub: Submission of a proposal for ethical committee clearance

Respected Sir,

We hereby submit a proposal titled “Effectiveness of a community-based education and peer support led by women self-help group members in improving the control of hypertension: an implementation research in urban slums of Kochi city, Kerala, India”. The study is being planned as part of my Lown Scholarship and has received an Award of 37750 USD from Harvard T H Chan School of Public Health.

Thanking you,

Dr Rakesh PS  
18.05.18